

Possibilities to Identify Cavity due to UNE Using Seismic Wave Fields

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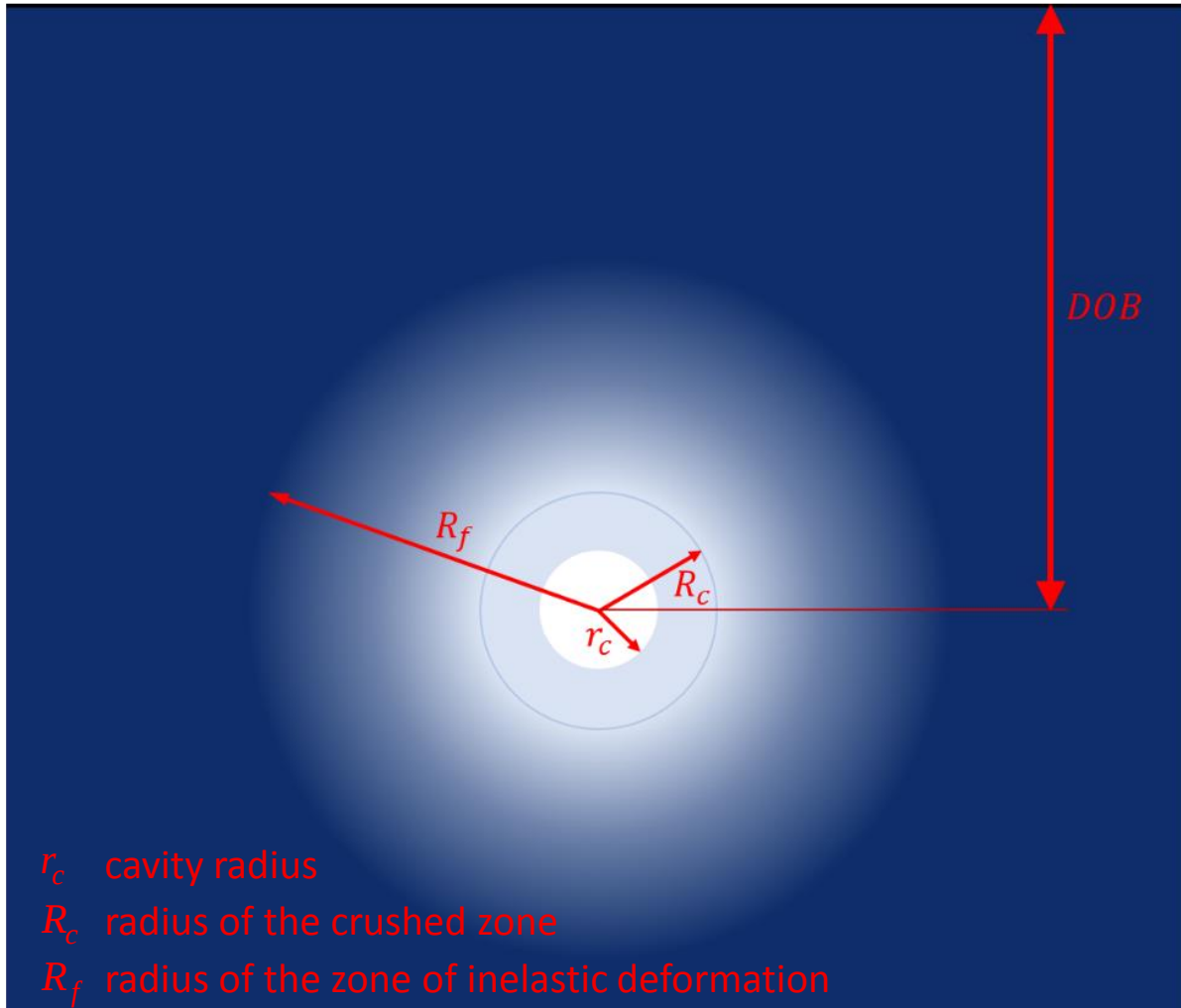
Motivation

In collaboration with the CTBT Organisation
to investigate possibilities
of the resonance seismometry
for on-site inspection (OSI)
using
3D modelling of seismic wave fields
in realistic models of the underground structure
after an underground nuclear explosion (UNE)

set of structural models based on the in-depth literature survey

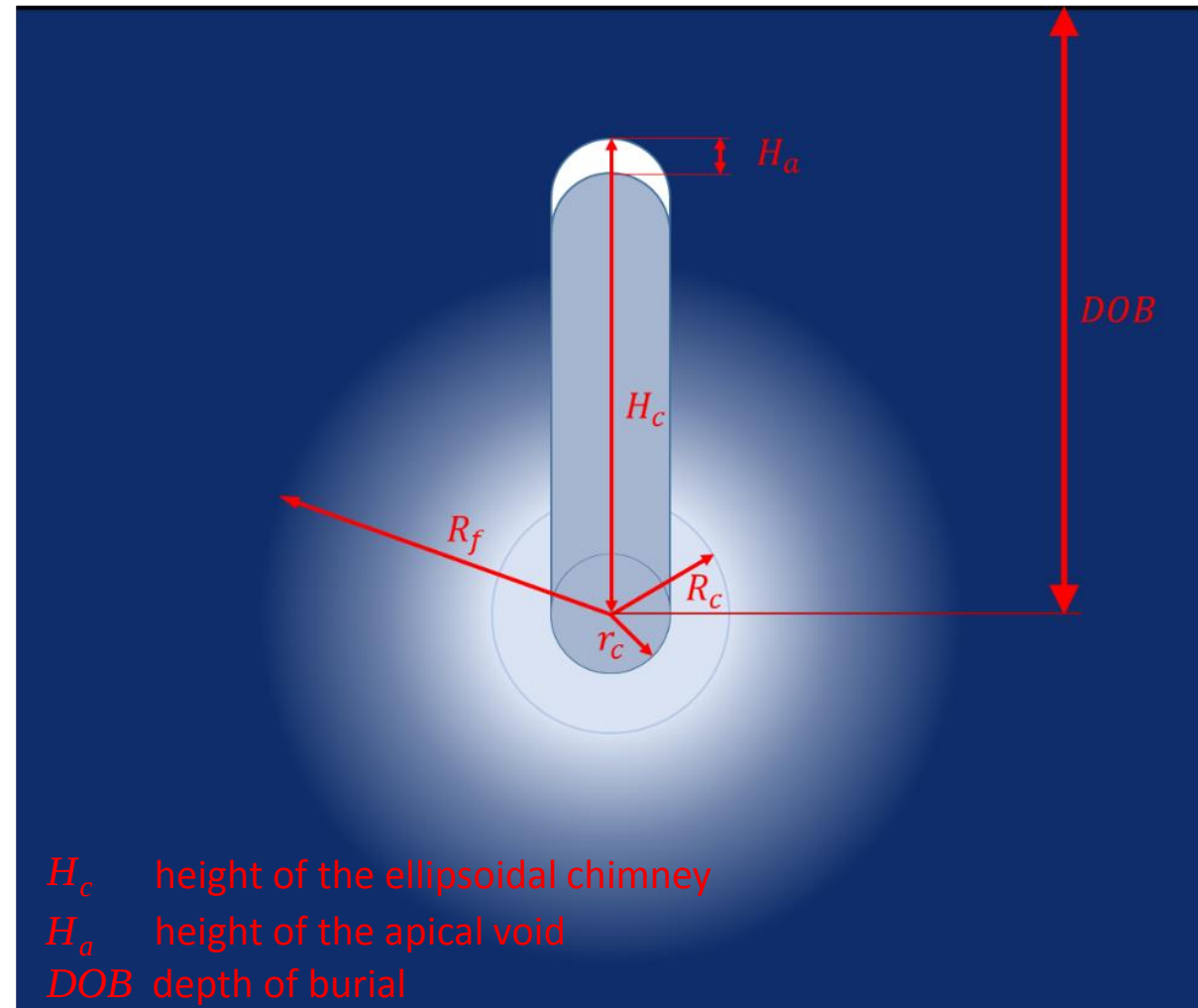
cavity without chimney (for plastic rock)

free surface



cavity with chimney filled with rubble + apical void

free surface



set of structural models based on the in-depth literature survey

4 essential types of preshot media

- tuff
- alluvium
- rock salt
- granite

with **viscoelastic attenuation**

$$Q_s(f) = \frac{V_s}{10}$$

$$Q_k(f) = \infty$$

3 different fillings of cavity/apical void

fluid (high V_p)
gas (low V_p)
vacuum

2 different yields of explosion

low-yield (1 kt)

high-yield (10 kt)

2 different depth of burial

minimal

2 x minimal

in total: **48 basic structural models**

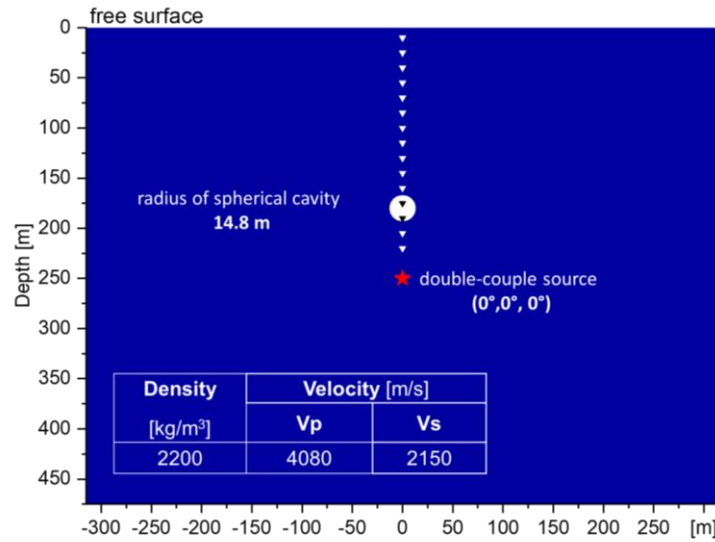
material	yield	depth of burial	r_c	R_c	R_f	H_c	H_a
tuff	low	180	16.9 ± 0.8	25.4 ± 1.1	67.5 ± 3.0	138 ± 20	9.7 ± 1.4
		360	15.6 ± 1.8	23.4 ± 2.7	56.4 ± 6.5	127 ± 18	8.9 ± 1.3
	high	280	33.4 ± 2.6	50.2 ± 3.9	125.9 ± 9.8	256 ± 16	18.0 ± 1.1
		560	30.9 ± 5.2	46.4 ± 7.8	102 ± 17	237 ± 15	16.6 ± 1.0
alluvium	low	180	14.6 ± 2.2	22.0 ± 3.3	118 ± 18	120 ± 17	8.4 ± 1.2
		360	13.4 ± 1.5	20.1 ± 2.3	88.1 ± 9.9	109 ± 15	7.6 ± 1.1
	high	260	29.0 ± 2.6	43.5 ± 3.8	212 ± 19	222 ± 14	15.6 ± 1.0
		520	26.5 ± 1.4	39.8 ± 2.1	153.6 ± 8.1	204 ± 12	14.2 ± 0.9
granite	low	180	11.5 ± 2.4	22.9 ± 4.8	196 ± 41	75 ± 12	5.2 ± 0.8
		360	10.5 ± 2.1	21.0 ± 4.2	142 ± 28	62 ± 18	4.4 ± 1.3
	high	260	22.7 ± 3.2	45.3 ± 6.5	344 ± 49	173 ± 25	12.1 ± 1.8
		520	20.8 ± 3.0	41.6 ± 6.0	242 ± 35	143.2 ± 8.0	10.0 ± 0.6
rock salt	low	180	14.8 ± 0.9	22.2 ± 1.4	188 ± 12	-	-
		360	13.7 ± 1.7	20.5 ± 2.5	130 ± 16	-	-
	high	260	29.5 ± 2.0	44.3 ± 3.0	323 ± 22	-	-
		520	27.3 ± 4.3	40.9 ± 6.4	219 ± 34	-	-

- numerical simulations using 3D finite-difference modeling code
 - (4,2) heterogeneous finite-difference scheme on staggered grid
 - internationally verified code FDSim (www.nuquake.eu/fdsim)
 - a novel approach to simulate vacuum cavities

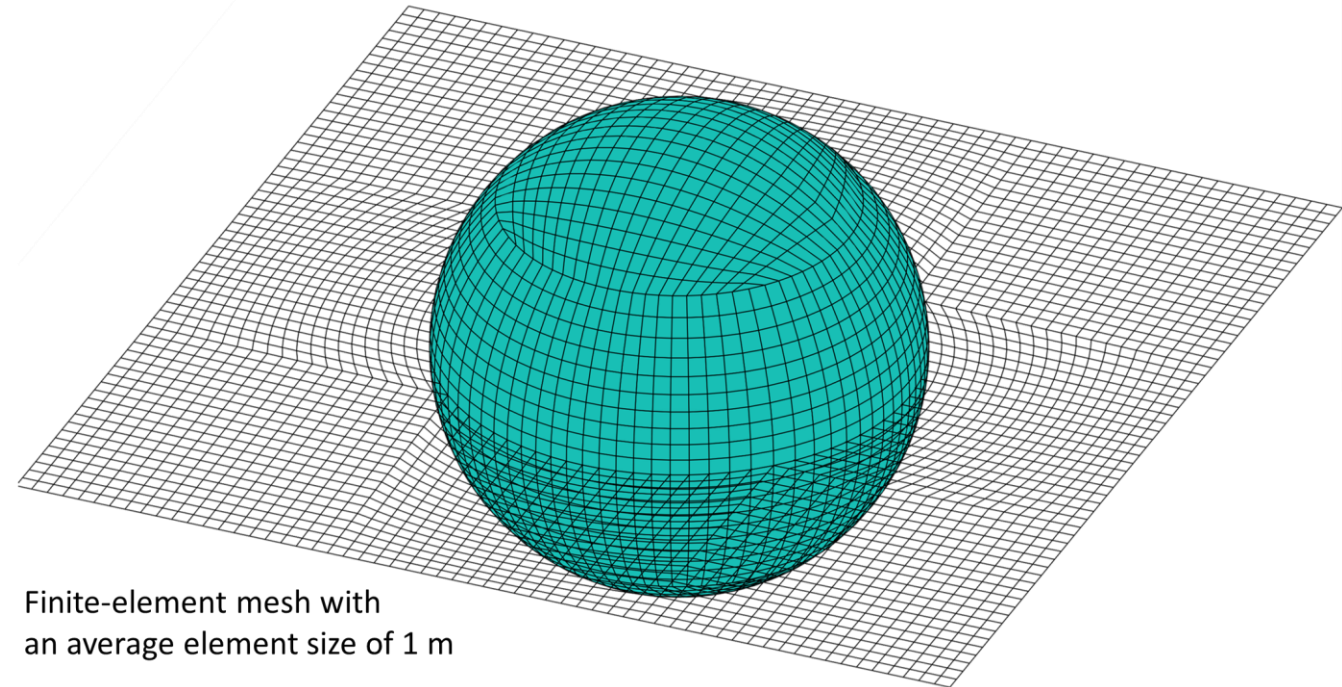
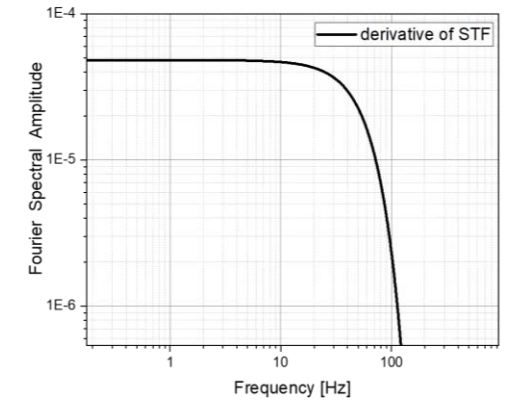
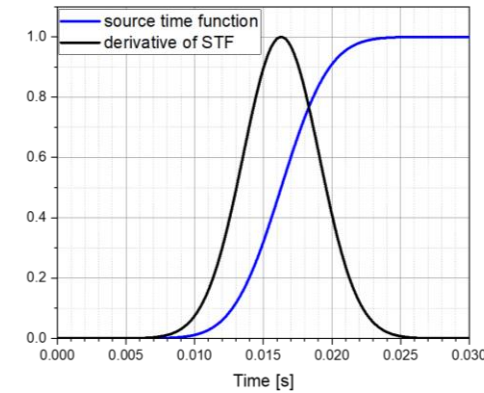
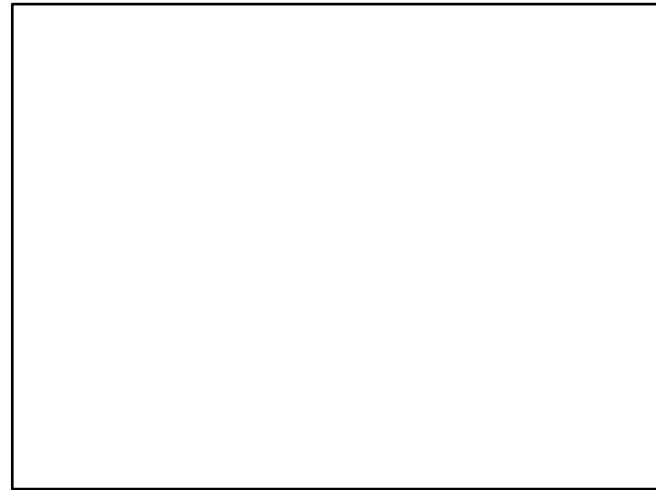
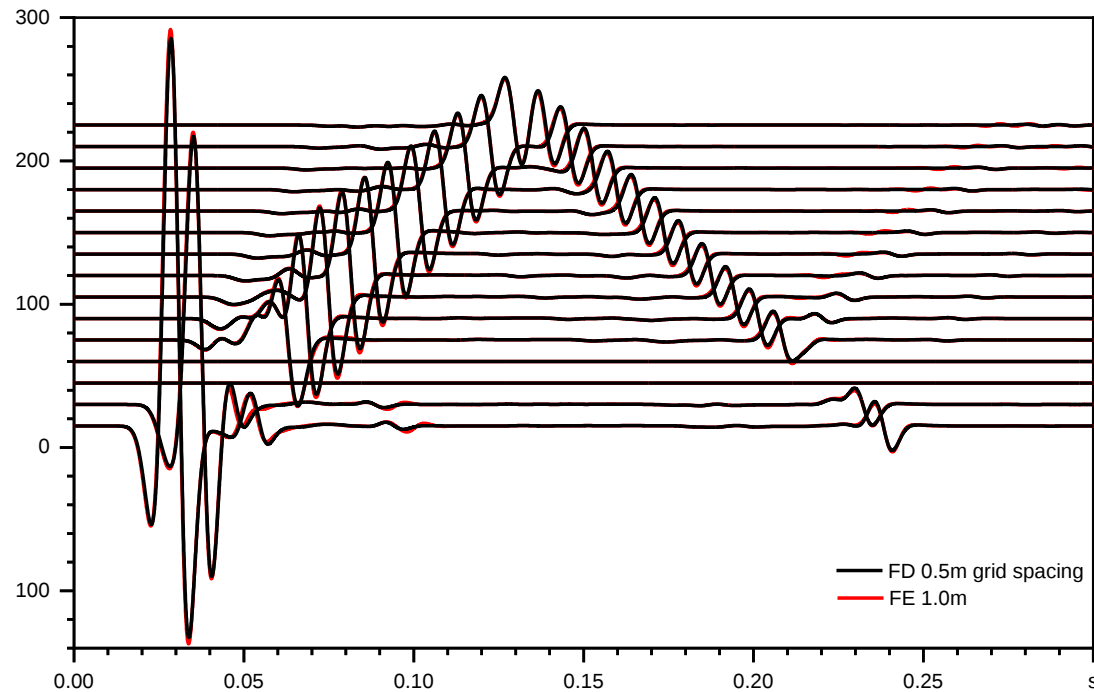
- numerical simulations using 3D finite-difference modeling code
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 - internationally verified code FDSim (www.nuquake.eu/fdsim)
 - a novel approach to simulate vacuum cavities
- verification
 - vacuum cavity in a homogeneous halfspace
 - fluid-filled cavity in a homogeneous unbounded medium

- numerical simulations using 3D finite-difference modeling code
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 - **vacuum cavity in a homogeneous halfspace**
 - fluid-filled cavity in a homogeneous unbounded medium

vacuum cavity in a homogeneous halfspace

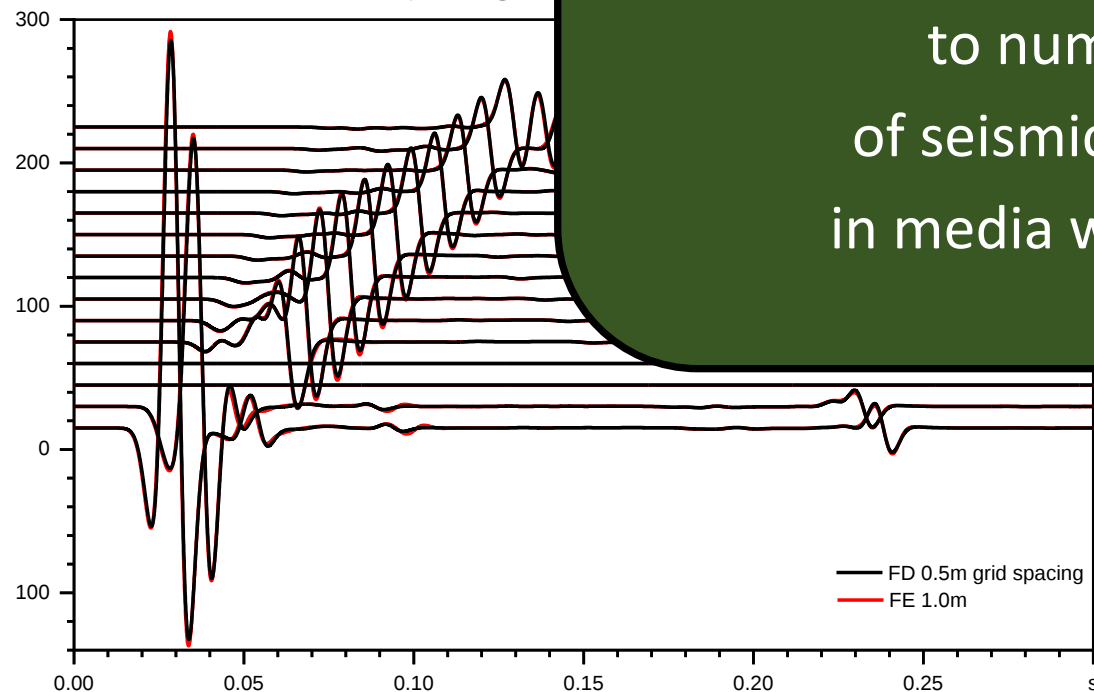
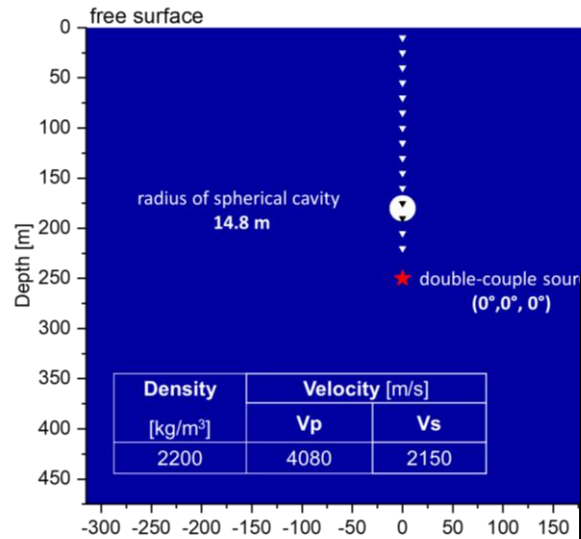


Horizontal component of particle velocity
(seismograms and snapshots)

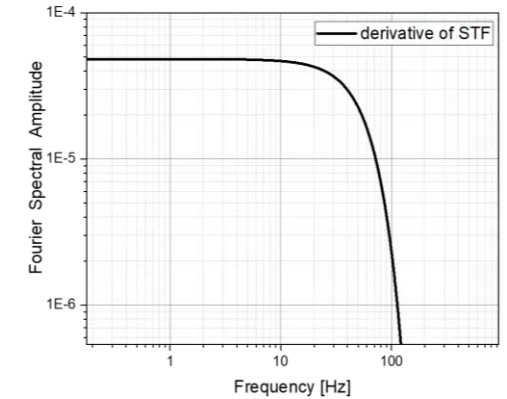
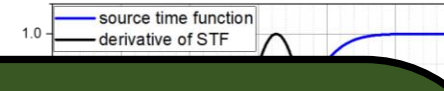


Finite-element mesh with
an average element size of 1 m

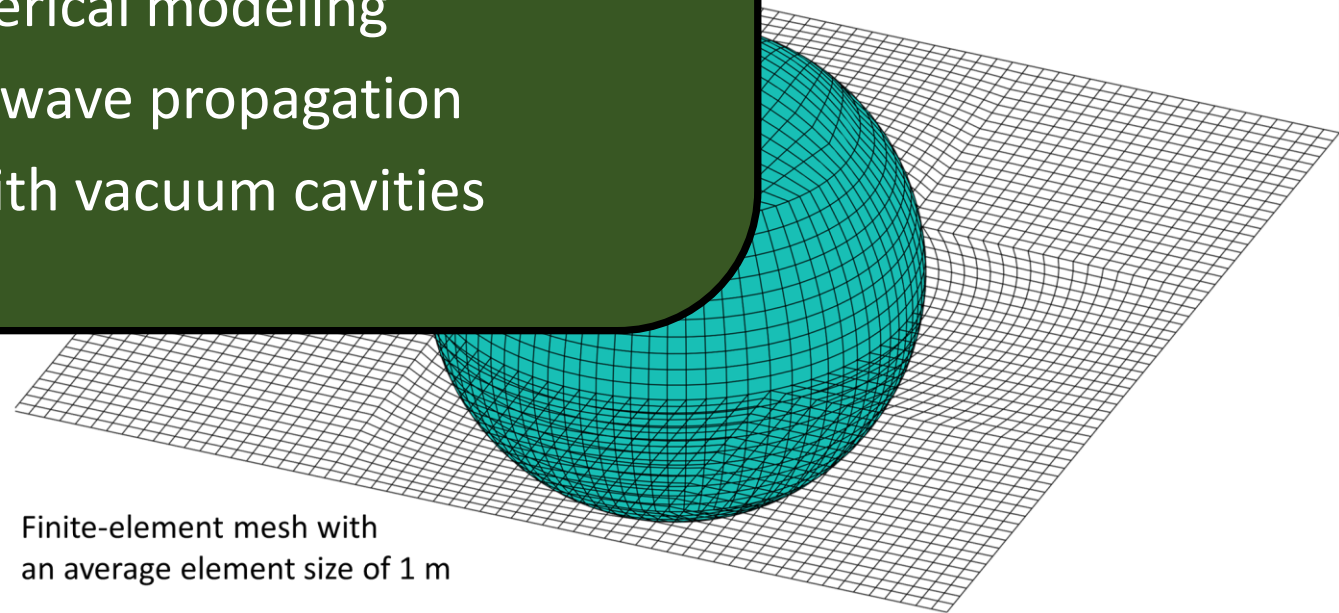
vacuum cavity in a homogeneous halfspace



All FD-FE comparisons
lead to conclusion
that our FD modeling
is well applicable
to numerical modeling
of seismic wave propagation
in media with vacuum cavities



Finite-element mesh with
an average element size of 1 m

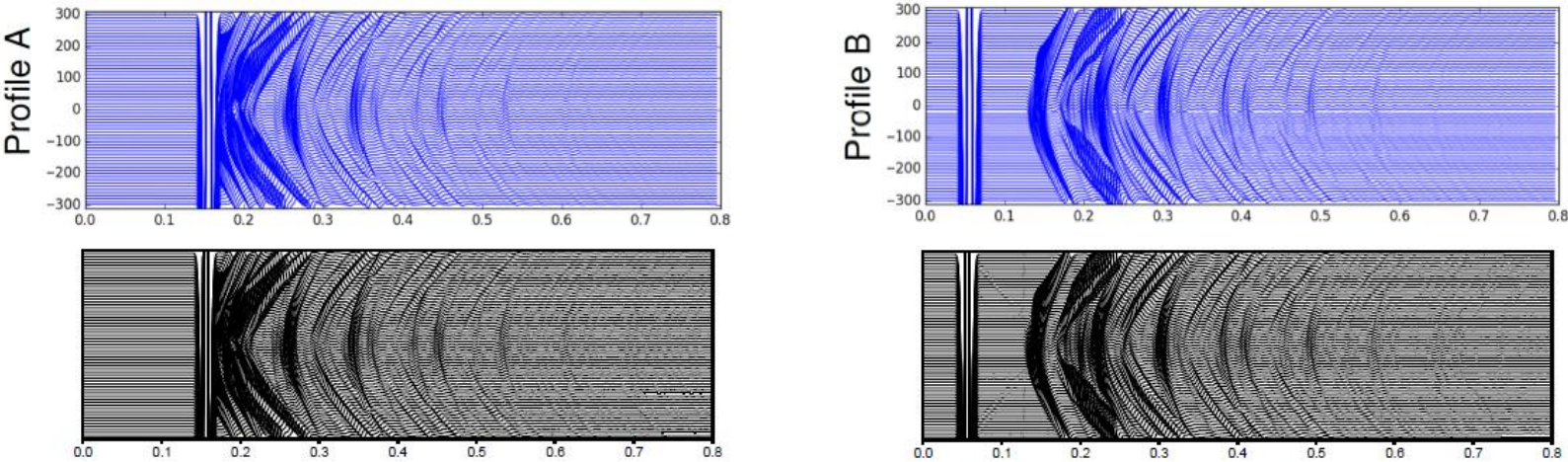


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 - **fluid-filled cavity in a homogeneous unbounded medium**

fluid-filled cavity in a homogeneous unbounded medium

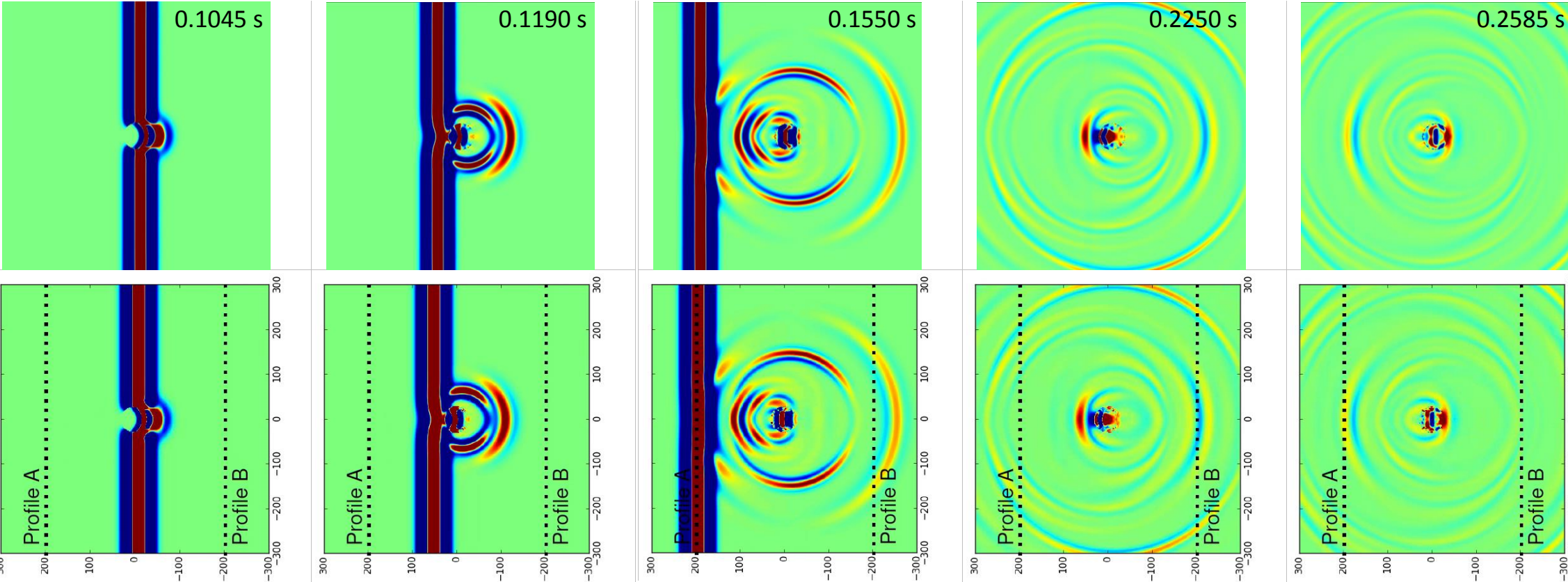
The vertical component of the particle velocity
simulated by
DG-SEM (Sofi Esterhazy, code Speed; blue)
and our FDM (black)
at 2 selected profiles of receivers

Domain	Vp [m/s]	Vs [m/s]	Density [kg/m³]
Outside	4000	2310	2700
Inside	1500	0	1000



FD Method

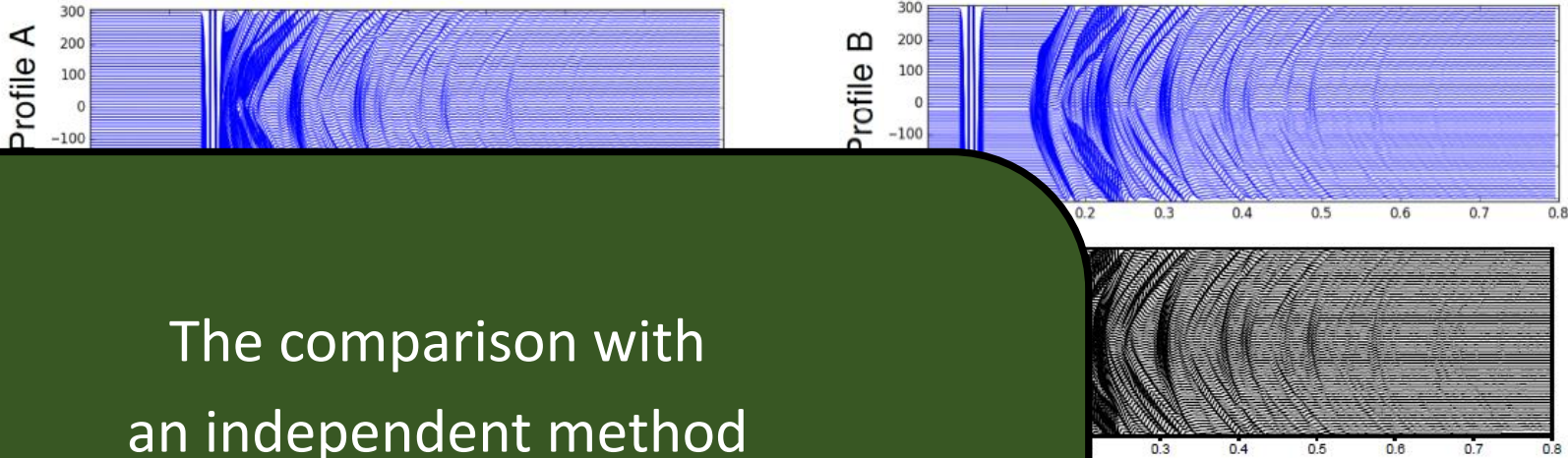
Speed (DG-SEM for Elastodynamics)



fluid-filled cavity in a homogeneous unbounded medium

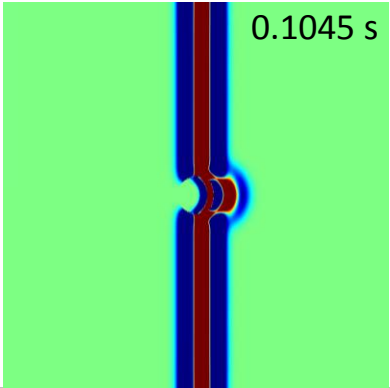
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Domain	Vp [m/s]	Vs [m/s]	Dens [kg]
Outside	4000	2310	27
Inside	1500	0	10

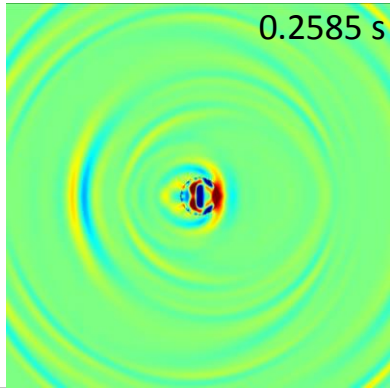
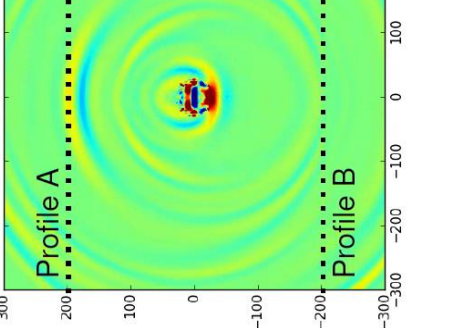
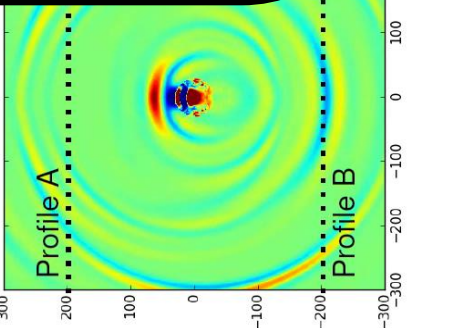
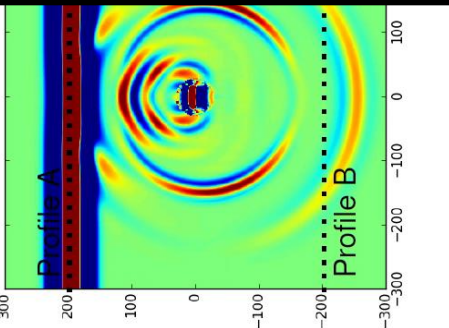
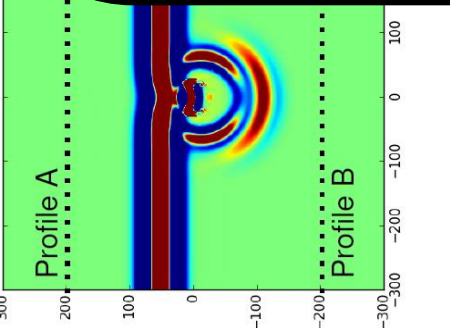
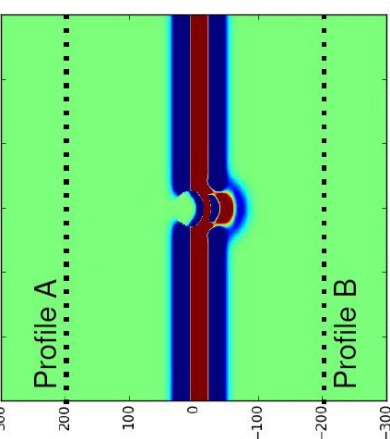


The comparison with
an independent method
leads to conclusion
that our FD modelling
is applicable
to the fluid-filled cavity

FD Method



Speed (DG-SEM for Elastodynamics)



- wavefield excitation
 - three types of plane wave:
 - one P-wave
 - two S-waves with orthogonal polarities
 - point source representing an aftershock
 - ambient noise
- number of receivers in one simulation: 6400
- frequency range up to 20 Hz
- time window at least 30 s

- wavefield excitation
 - **three types of plane wave:**
 - one P-wave
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- wavefield excitation

three types of plane wave:

one P-wave

two S-waves with orthogonal polarities

These types of excitation enable
to compute matrix
of the Fourier transfer functions
of the medium

frequency range up to 20 Hz

- time window at least 30 s

- wavefield excitation
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- wavefield excitation
 - three types of plane wave:
 - one P-wave
 - two S-waves with orthogonal polarities

point source representing an aftershock

For assumed yields, aftershocks will have magnitudes -1 .

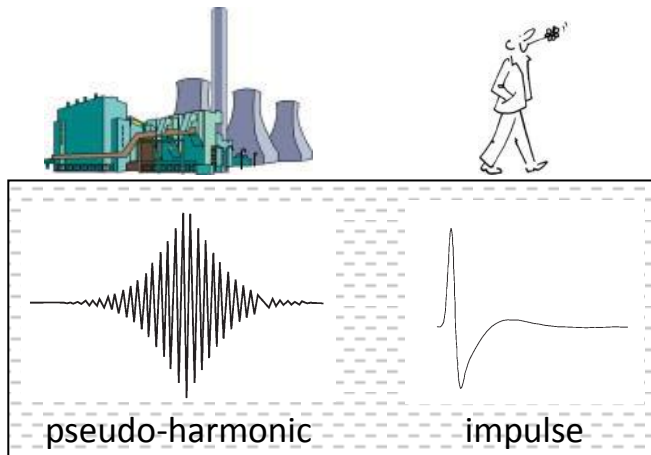
We also assume random distribution
of parameters of focal mechanism
(strike, dip and rake).

time window at least 50 s

- wavefield excitation
 - three types of plane wave:
 - one P-wave
 - two S-waves with orthogonal polarities
 - point source representing an aftershock
 - **ambient noise**
- number of receivers in one simulation: 6400
- frequency range up to 20 Hz
- time window at least 30 s

modeling of ambient seismic noise

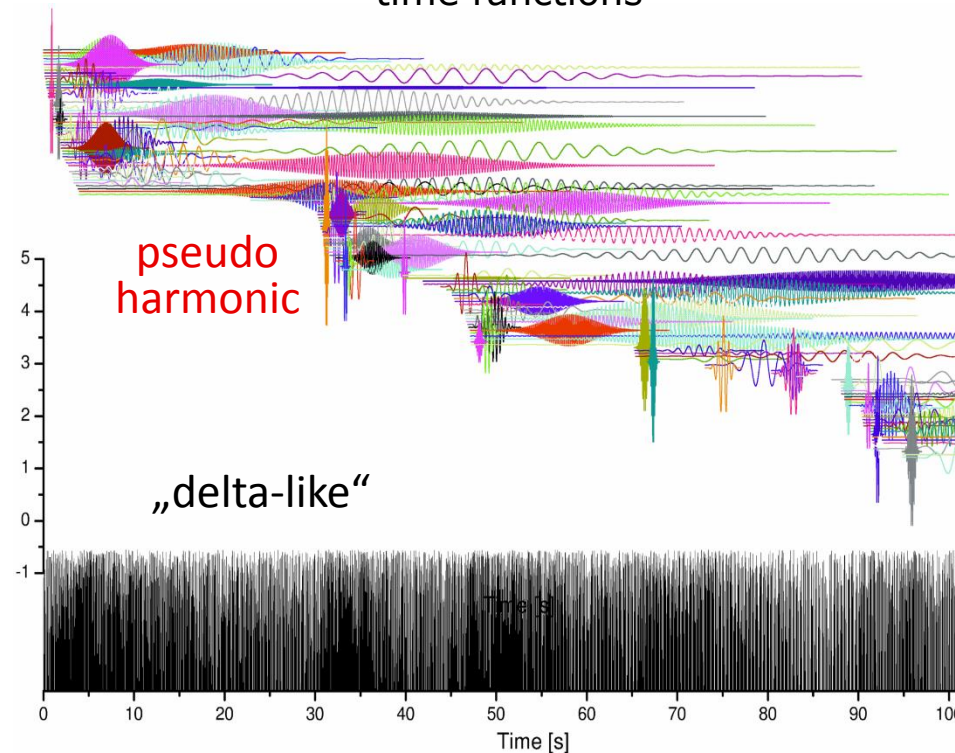
sources of ambient seismic noise



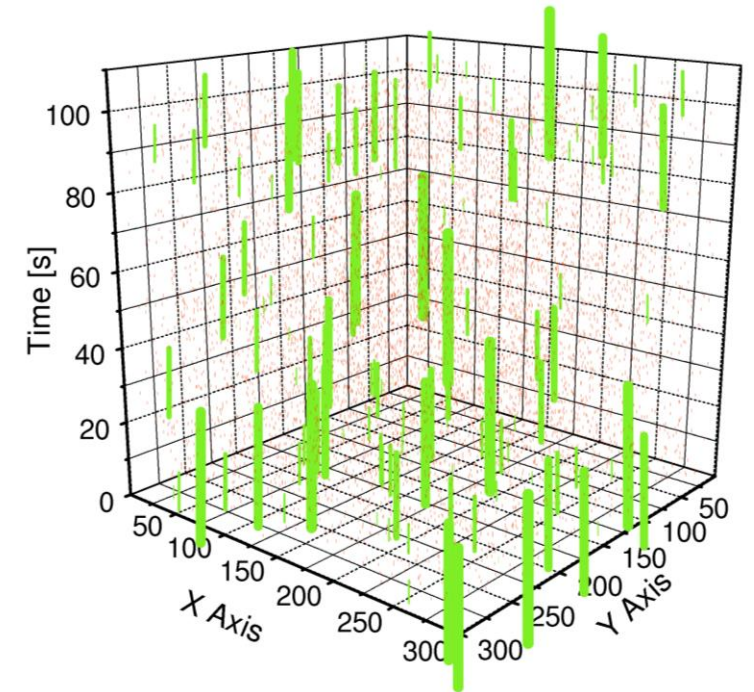
*for this study
we used only
impulse (delta-like)
sources*

example of randomly generated point sources (body forces)

time functions



space-time distribution



- wavefield excitation
 - three types of plane wave:
 - one P-wave
 - two S-waves with orthogonal polarities
 - point source representing an aftershock
 - ambient noise
- **number of receivers in one simulation: 6400**
- frequency range up to 20 Hz
- time window at least 30 s

3D numerical simulations

- wavefield excitation

uniform dense grid of receivers
at the free surface
covering area
of 800m x 800m
with interstation distance of 10m
centered around the cavity
projected on the free surface

onal polarities

shock

- **number of receivers in one simulation: 6400**
- frequency range up to 20 Hz
- time window at least 30 s

- wavefield excitation
 - three types of plane wave:
 - one P-wave
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Results of analysis

- the effects of [cavity/apical-void filling](#)
- the effects of [attenuation](#)
- analysis of seismic wavefields due to [regional and distant seismic sources](#)
- analysis of seismic wavefields due to [weak local aftershocks](#)
- analysis of simulated [ambient noise](#)

Results of analysis

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- analysis of simulated [ambient noise](#)

The effects of cavity/apical-void filling

Select

Medium

☐ tuff (model with chimney)

☒ rock salt

Component

☒ horizontal EW

Receiver position (-200,0) m

[center of cavity is at (0, 0) m]

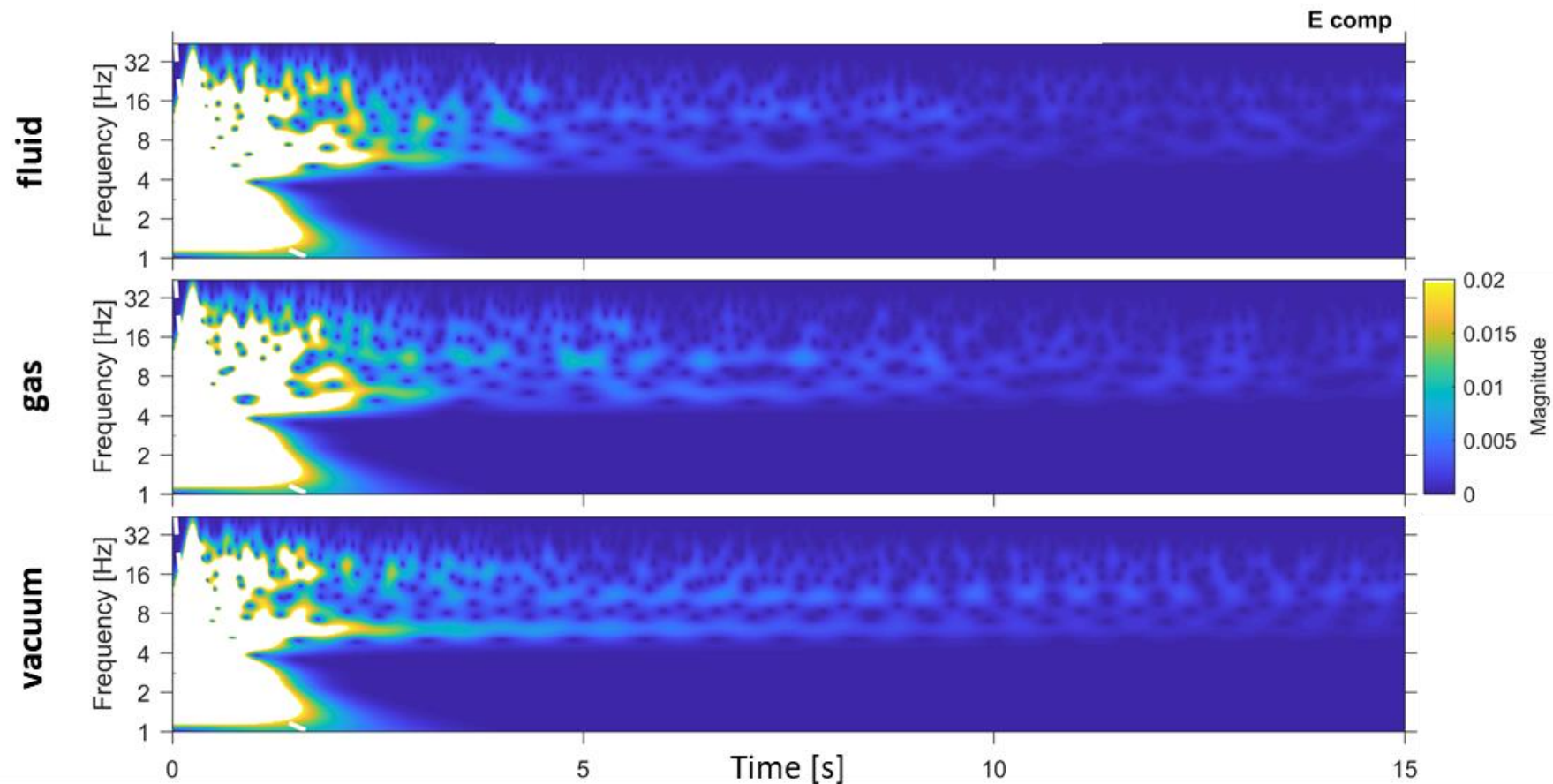
Type of excitation
plane S-wave (EW)

Low yield (1kt)

Minimal depth of burial (180m)

elastic model

Comparison of the effect
of **different types of filling**
of the cavity on
time-frequency representations of seismograms



The effects of cavity/apical-void filling

Select

Medium

☒ tuff (model with chimney)

☐ rock salt

Component

☒ horizontal EW

Receiver position (-200,0) m

[center of cavity is at (0, 0) m]

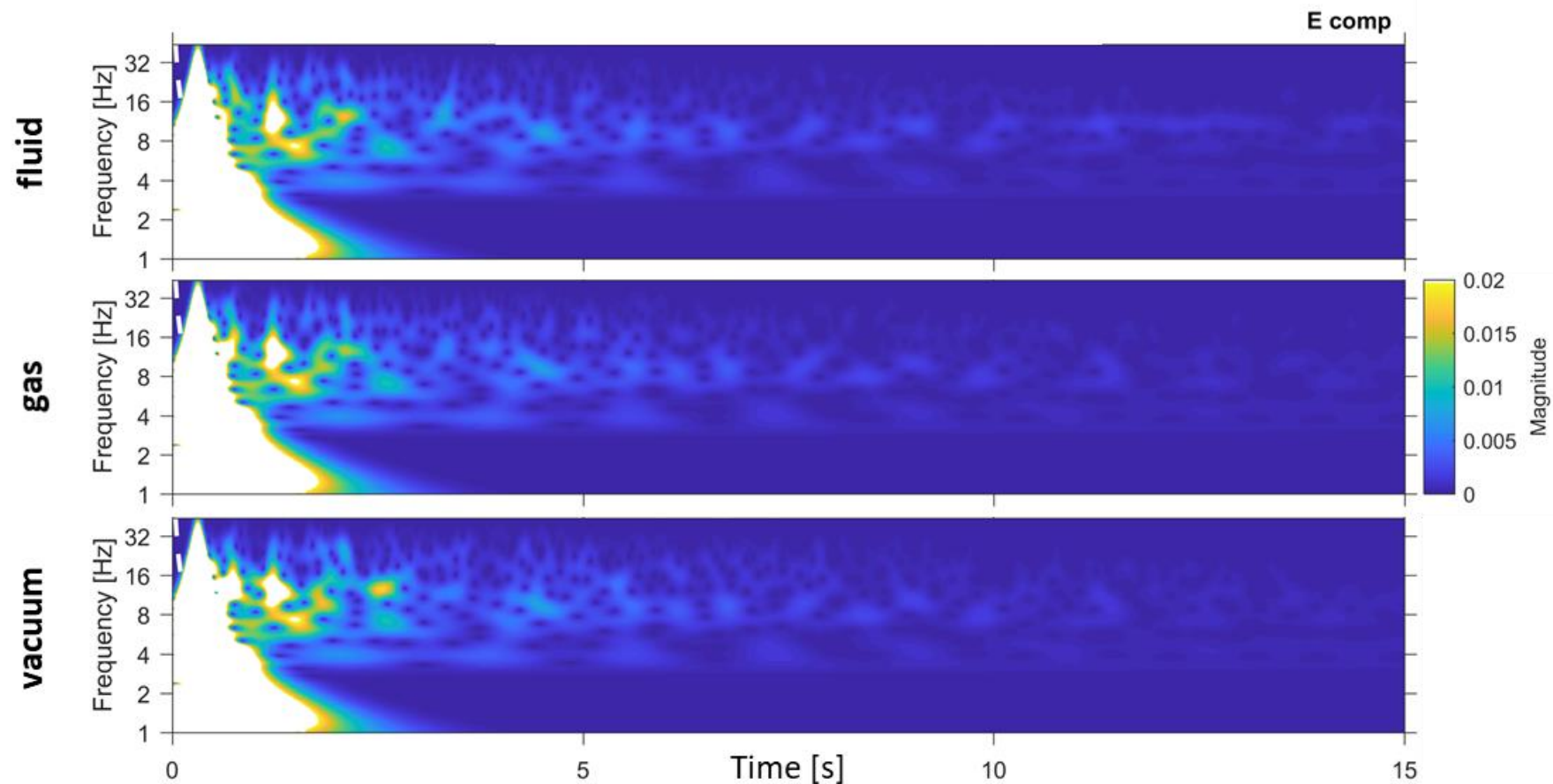
Type of excitation
plane S-wave (EW)

Low yield (1kt)

Minimal depth of burial (180m)

elastic model

Comparison of the effect
of **different types of filling**
of the apical void on
time-frequency representations of seismograms



The effects of cavity/apical-void filling

Select

Medium

☒ tuff (model)

☐ rock salt

Component

☒ horizontal E

☐ vertical

Receiver position

[center of cavity]

Type of element

plane S-wave

Low yield

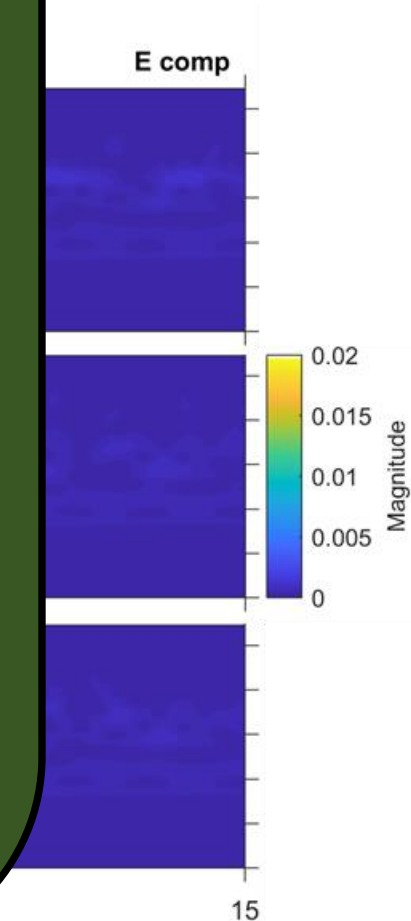
Minimal depth of

elastic model

Differences are visible but not significant.

The overall character of TFRs is basically the same.
TFRs mainly differ in frequencies that are enhanced.

The differences due to the type of filling
are better visible in the models without chimney.



Results of analysis

- the effects of [cavity/apical-void filling](#)
- **the effects of [attenuation](#)**
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- analysis of seismic wavefields due to [weak local aftershocks](#)
- analysis of simulated [ambient noise](#)

The effects of attenuation

Comparison of the effect
of **attenuation** on
time-frequency representations
of seismograms

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Receiver position (-200,0) m

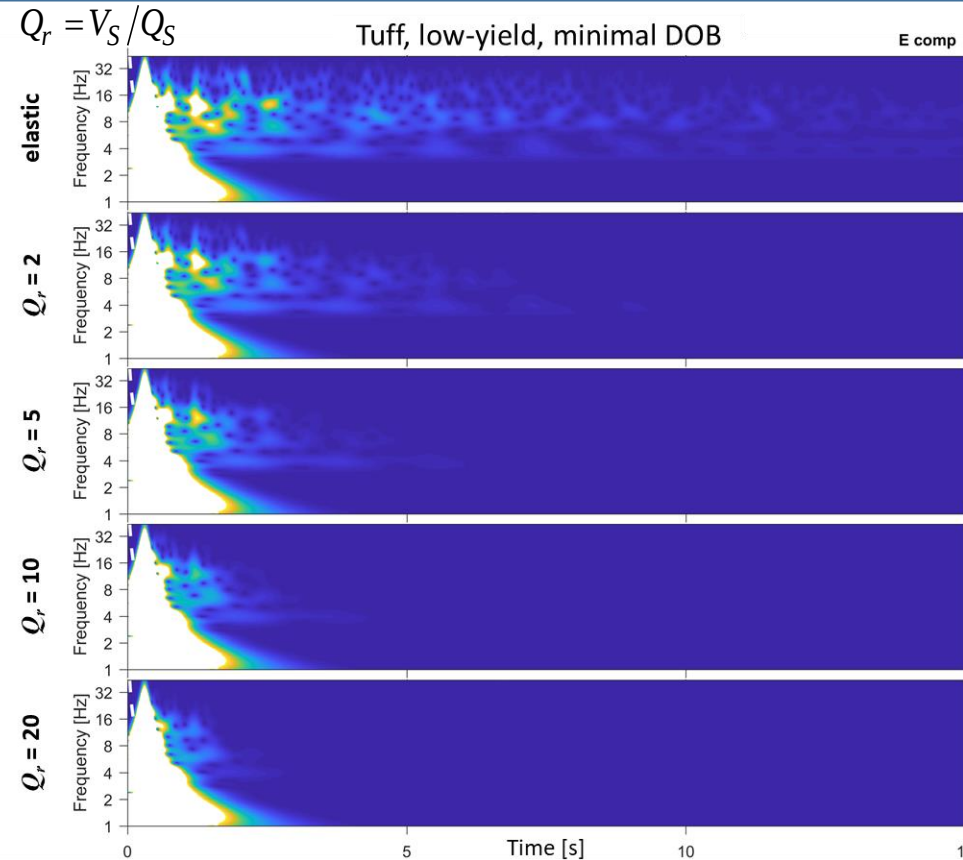
[center of cavity is at (0, 0) m]

Type of excitation

plane S-wave (EW)

Low yield (1kt)

Minimal depth of burial (180m)



The effects of attenuation

Comparison of the effect
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Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Receiver position (-200,0) m
[center of cavity is at (0, 0) m]

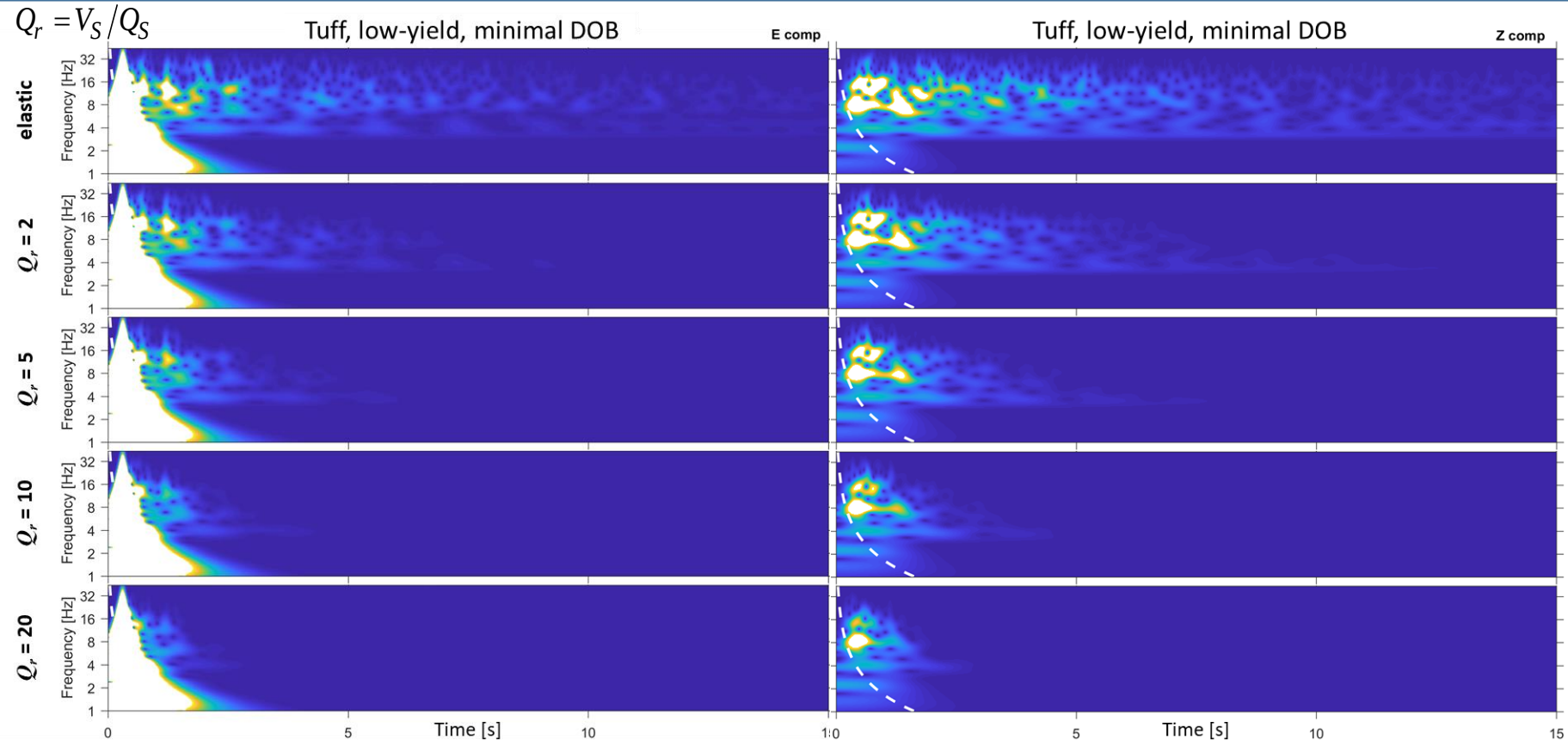
Receiver position (-200,0) m
[center of cavity is at (0, 0) m]

Type of excitation
plane S-wave (EW)

Type of excitation
plane S-wave (EW)

Low yield (1kt)

Minimal depth of burial (180m)



The effects of attenuation

Comparison of the effect
of **attenuation** on
time-frequency representations
of seismograms

Select

Cavity / Apical void

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

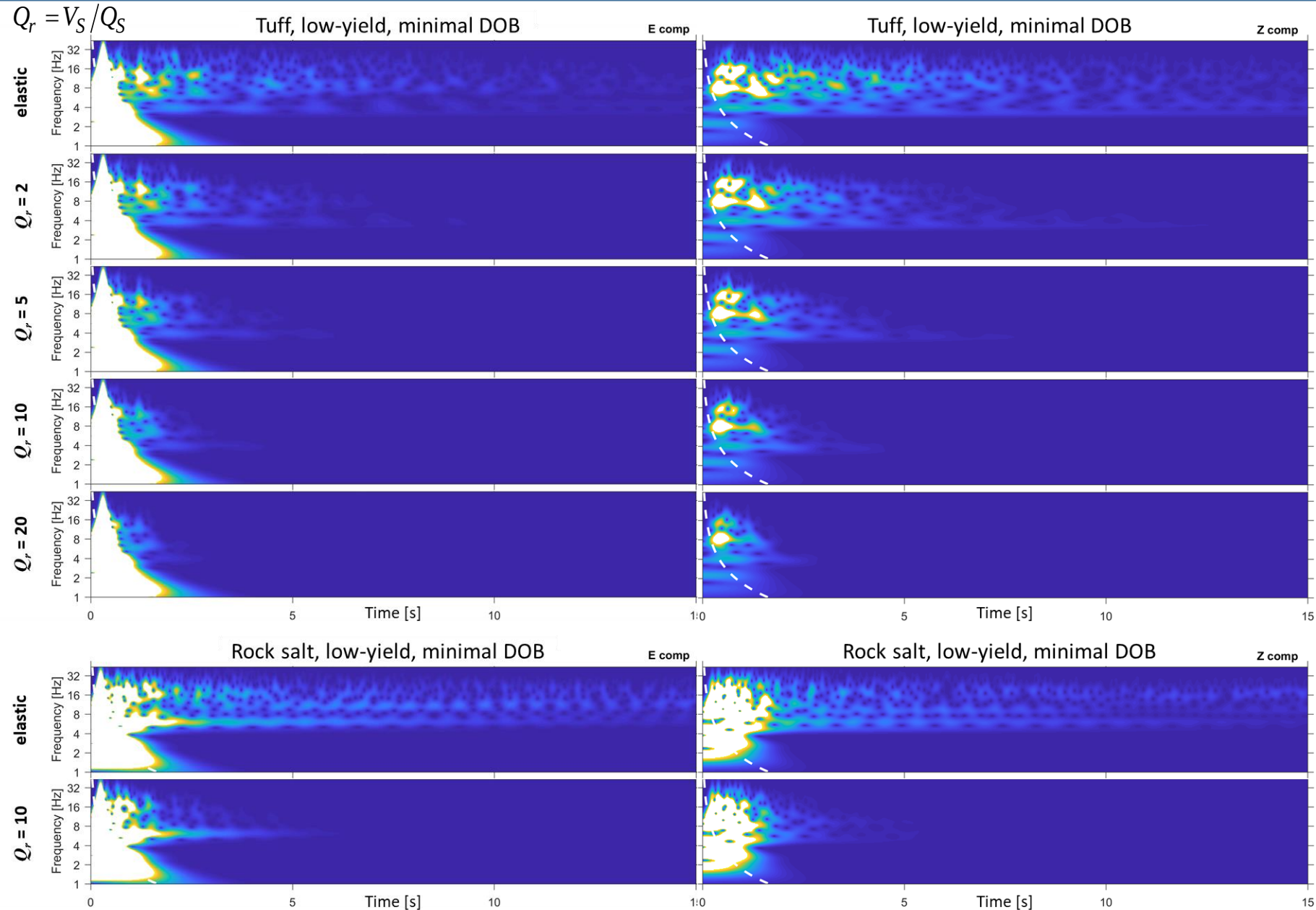
Receiver position (-200,0) m

[center of cavity is at (0, 0) m]

Type of excitation
plane S-wave (EW)

Low yield (1kt)

Minimal depth of burial (180m)



The effects of attenuation

$$Q_r = V_s / Q_s$$

Tuff, low-yield, minimal DOB

E comp

Tuff, low-yield, minimal DOB

Z comp

Comparison of the
of **atten**
time-frequen
of se

Cavity

- ☐ fluid-filled
- ☐ gas-filled
- ☒ vacuum

Receiver p

[center of

Type

plane

Low

Minimal depth of

The attenuation mainly affects the coda of seismograms.

Higher frequencies are more attenuated.

DOB

Z comp

0

5

Time [s]

10

15

0

Time [s]

5

10

15

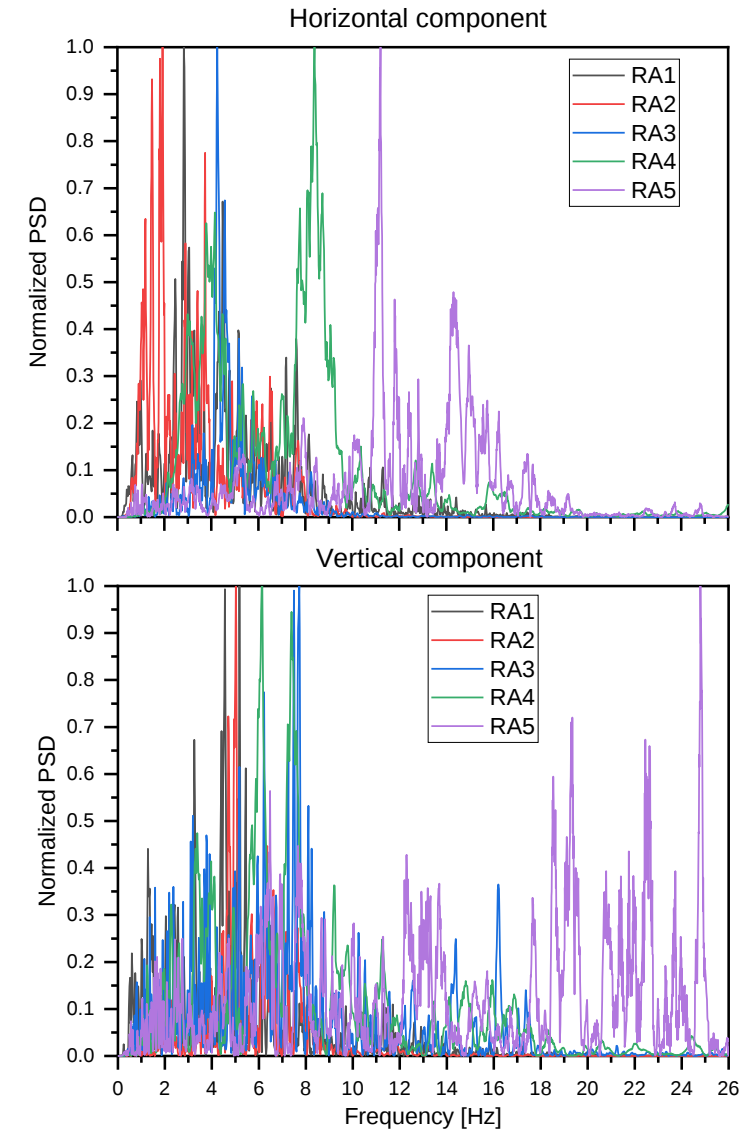
Results of analysis

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- analysis of seismic wavefields due to [weak local aftershocks](#)
- analysis of simulated [ambient noise](#)

analysis of simulated realistic seismograms

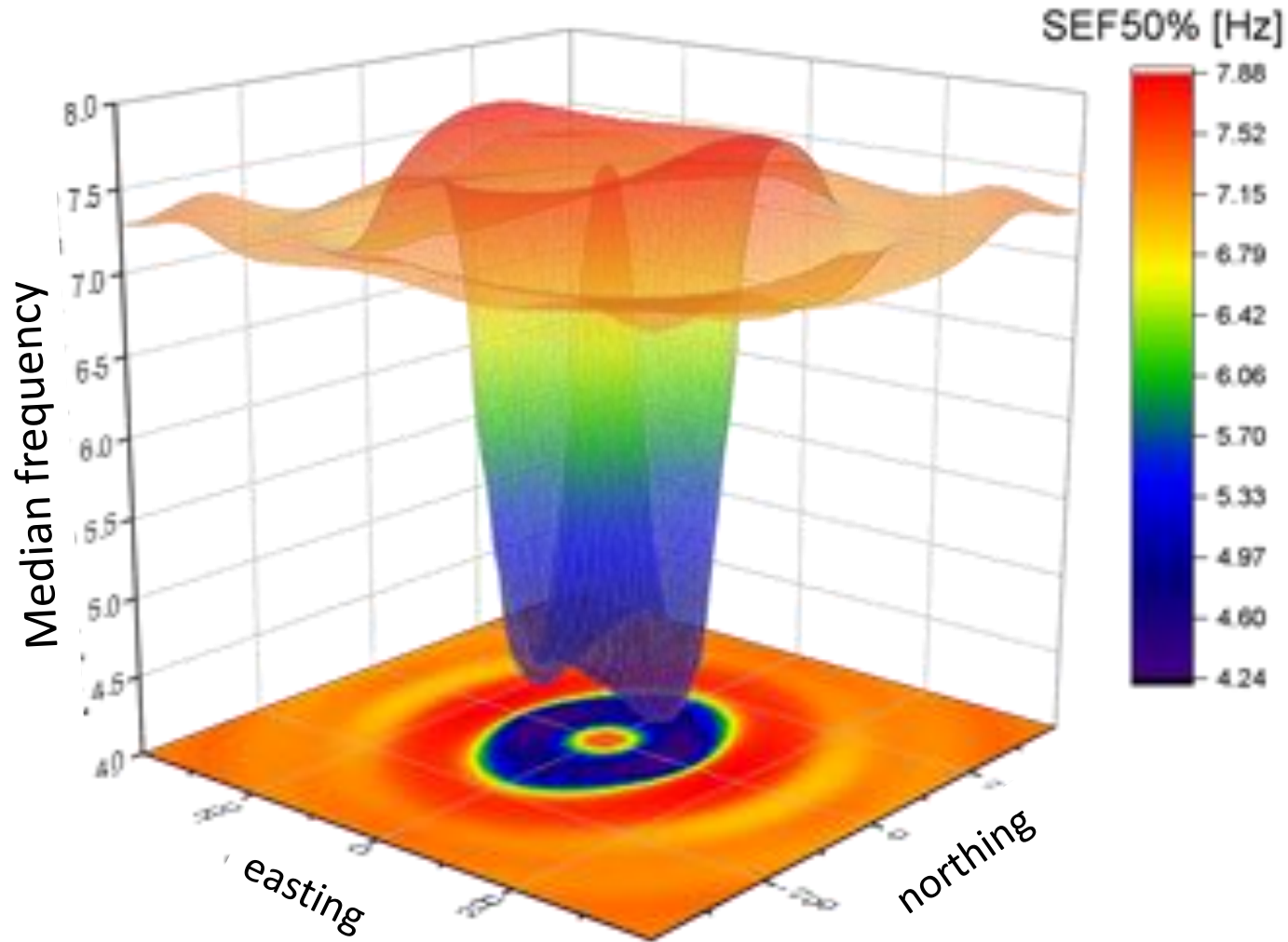
we convolved the synthetic Fourier transfers functions
with real (recorded) accelerograms (differing in spectral content)

RESORCE waveform ID and station name	Label	Earthquake (Name, date, magnitude)	Epicentral Distance (km)	Component	Pga (cm/s ²)	Fpeak (Hz)	Source
14683 Borgo Cerreto – Torre	RA1	Umbria-Marche 14/10/1997 15:23, Mw 5.6	9	H1	333	4.6	ITACA
				H2	329	3.3	
				V	157		
6756 - Flagbjarnarholt	RA2	South-Iceland, 17/06/2000 15:40, Mw 6.5	20	H1	315	2.5	ESMD
				H2	339	10.0	
				V	271		
15905 - Zarrat	RA3	Firuzabad, 20/06/1994 09:09, Mw 5.9	16	H1	301	4.2	ESMD
				H2	253	4.6	
				V	102		
16996 - L'Aquila - V. Aterno - Il Moro - AQM	RA4	L'Aquila Aftershock 07/04/2009 21:34, Mw 4.6	2	H1	247	8.3	ITACA
				H2	130	50	
				V	82		
17116 – Montereale - MTR	RA5	L'Aquila Aftershock 09/04/2009 19:38, Mw 5.3	10	H1	108	10	ITACA
				H2	90	20	
				V	67		



analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)



Select:



tuff (model with chimney)

Medium



rock salt

Depth of burial



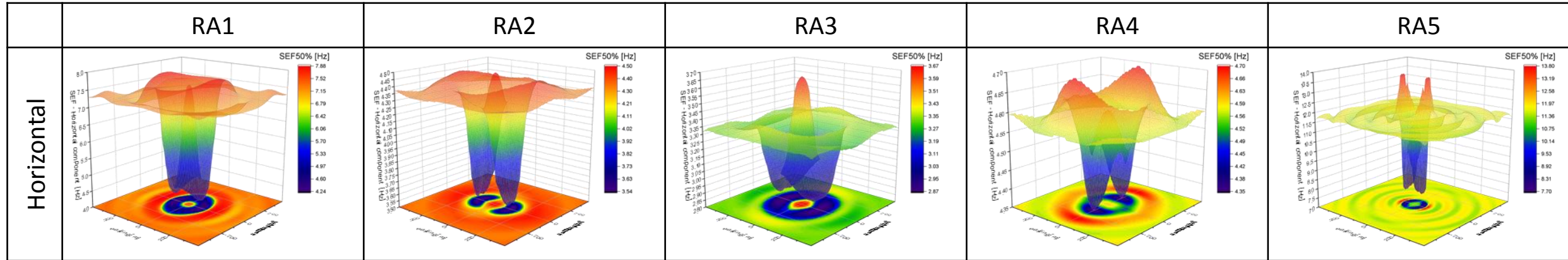
minimal (180m)



2 x minimal (360m)

analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)



Select:

Medium

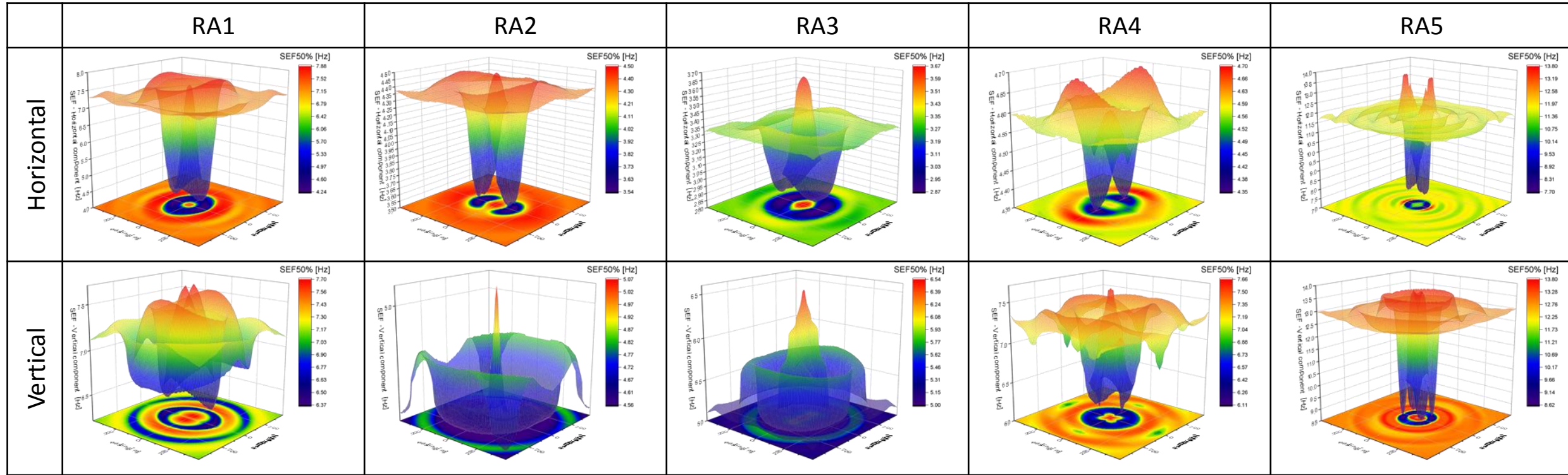
☒ tuff (model with chimney) ☐ rock salt

Depth of burial

☒ minimal (180m) ☐ 2 x minimal (360m)

analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)

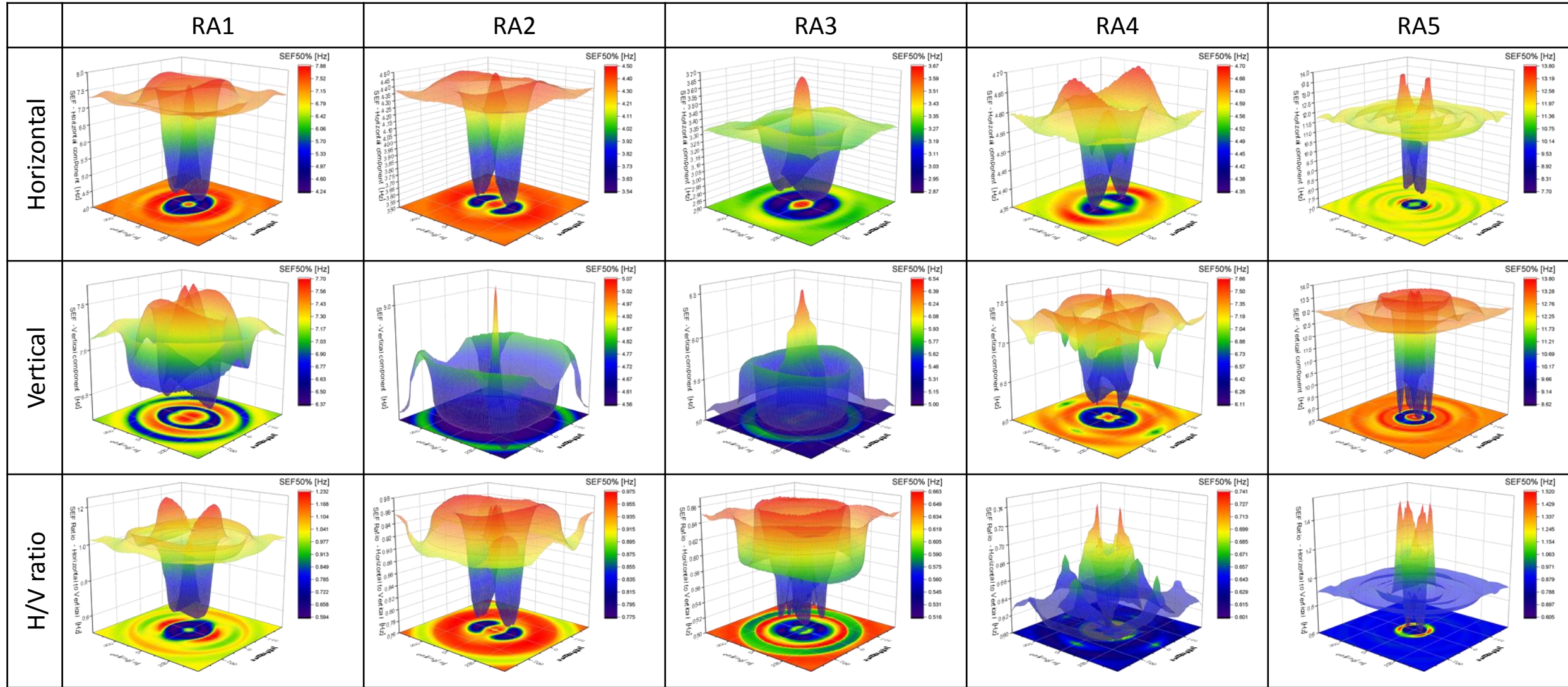


Select: ☒ Medium ☐ Depth of burial

☒ tuff (model with chimney) ☐ rock salt ☒ minimal (180m) ☐ 2 x minimal (360m)

analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)



Select:



tuff (model with chimney)



rock salt



minimal (180m)



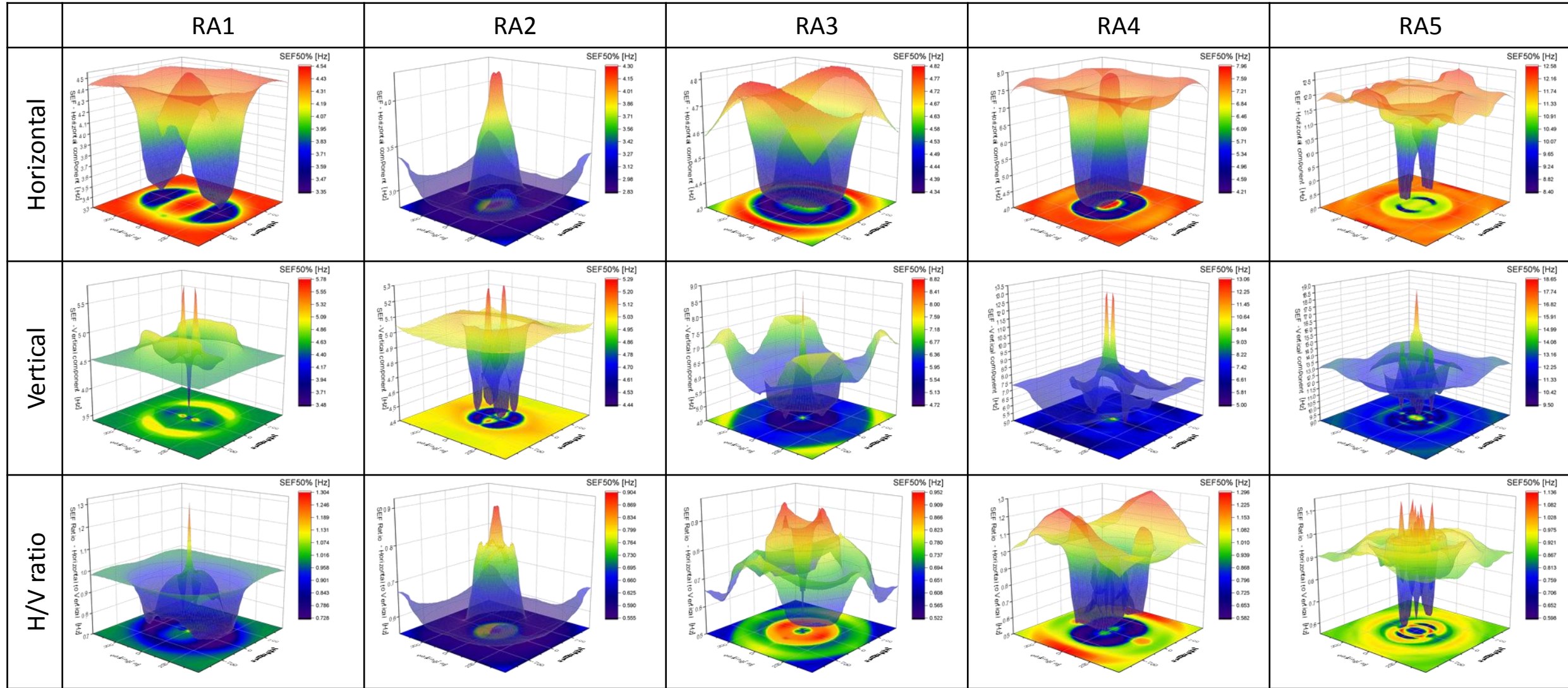
2 x minimal (360m)

Medium

Depth of burial

analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)

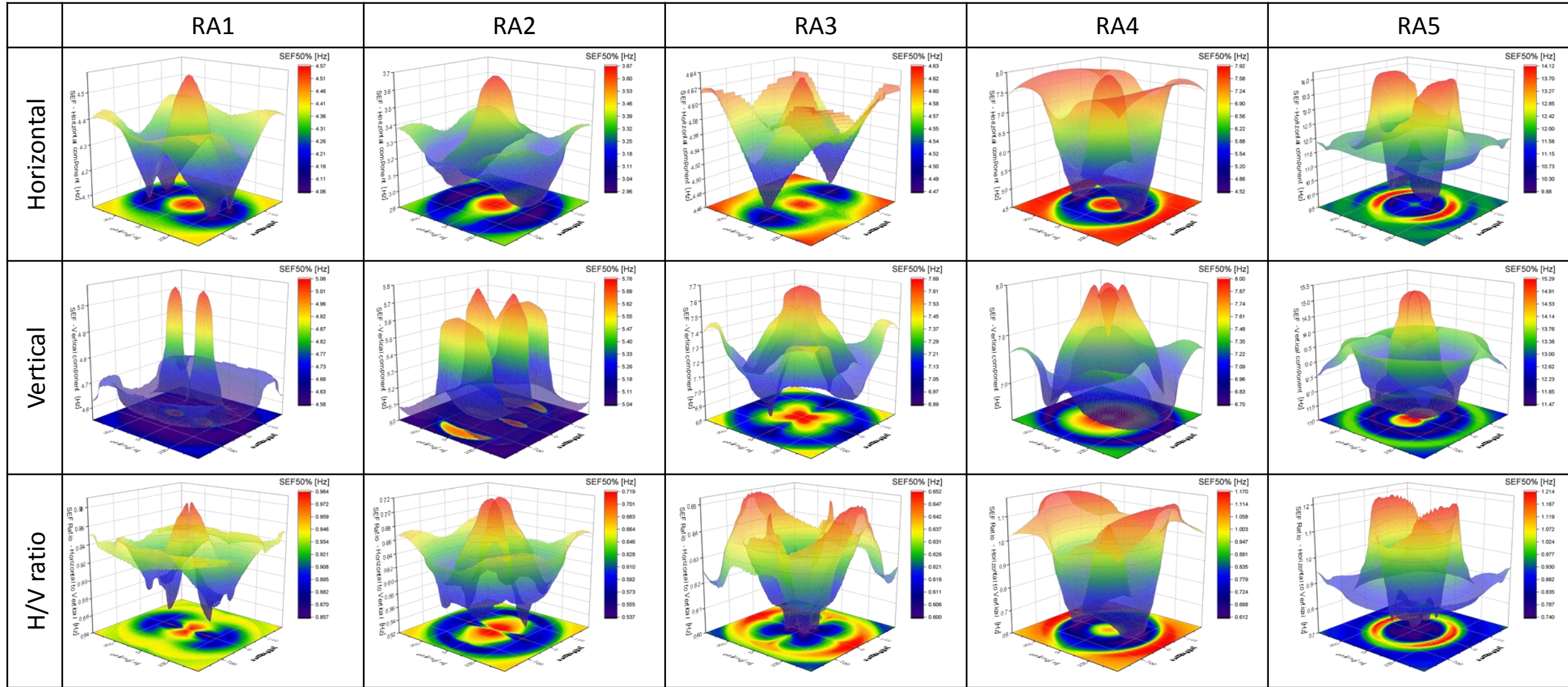


Select: ☐ tuff (model with chimney) ☒ rock salt ☒ minimal (180m) ☐ 2 x minimal (360m)

Medium **Depth of burial**

analysis of simulated realistic seismograms

Median frequency (SEF 50%) (low-yield, fluid-filled cavity/apical void)



Select:

☐ Medium
☐ tuff (model with chimney)

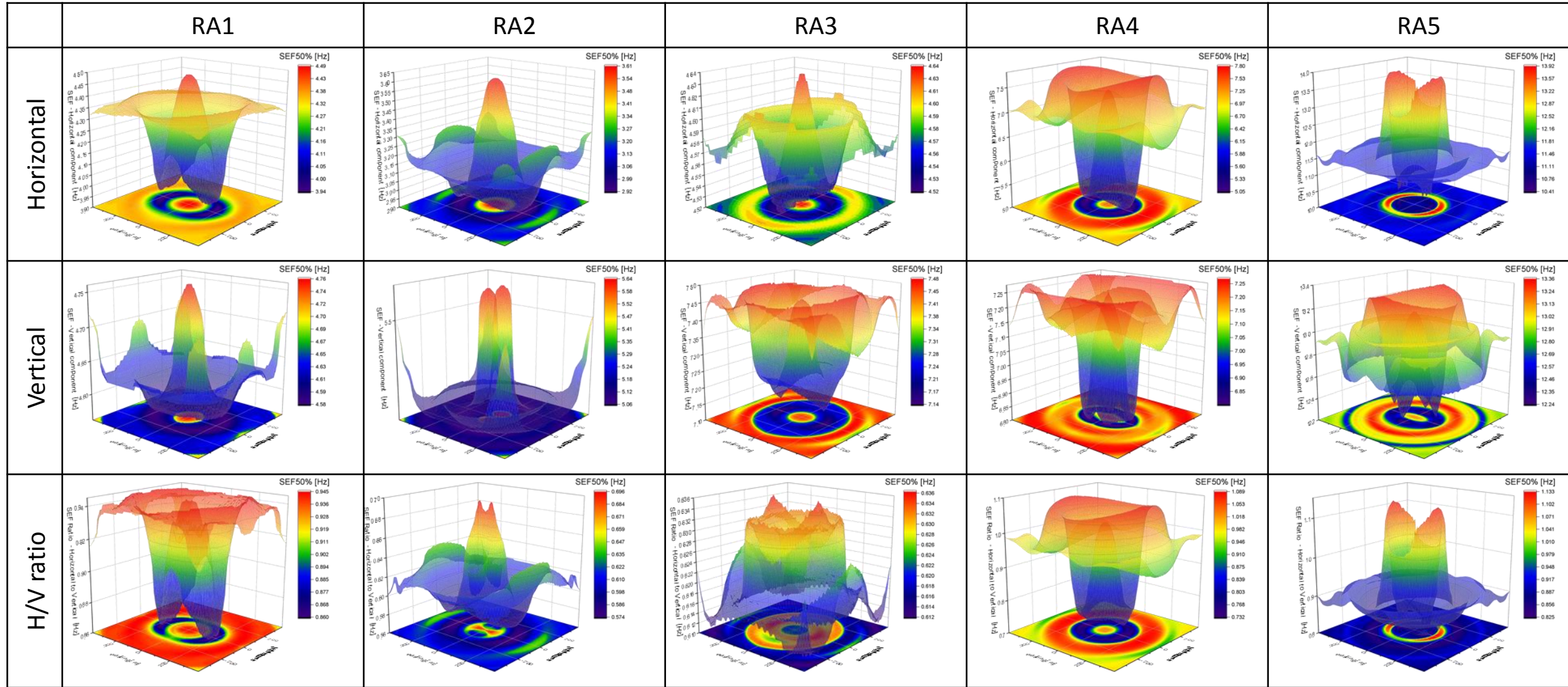
☒ rock salt

☐ Depth of burial
☐ minimal (180m)

☒ 2 x minimal (360m)

analysis of simulated realistic seismograms

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Select:



tuff (model with chimney)



rock salt



minimal (180m)



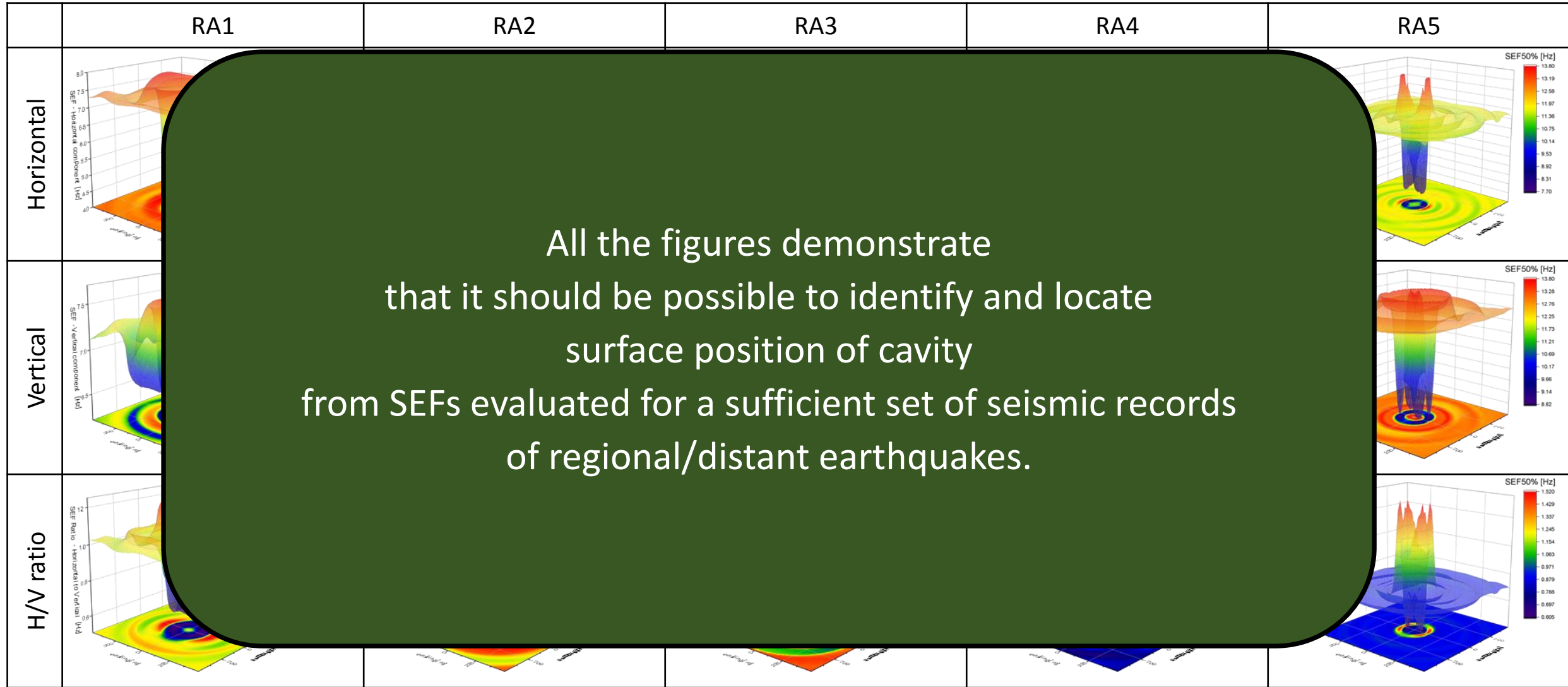
2 x minimal (360m)

Medium

Depth of burial

analysis of simulated realistic seismograms

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Select:



tuff (model with chimney)

Medium



rock salt



minimal (180m)

Depth of burial



2 x minimal (360m)

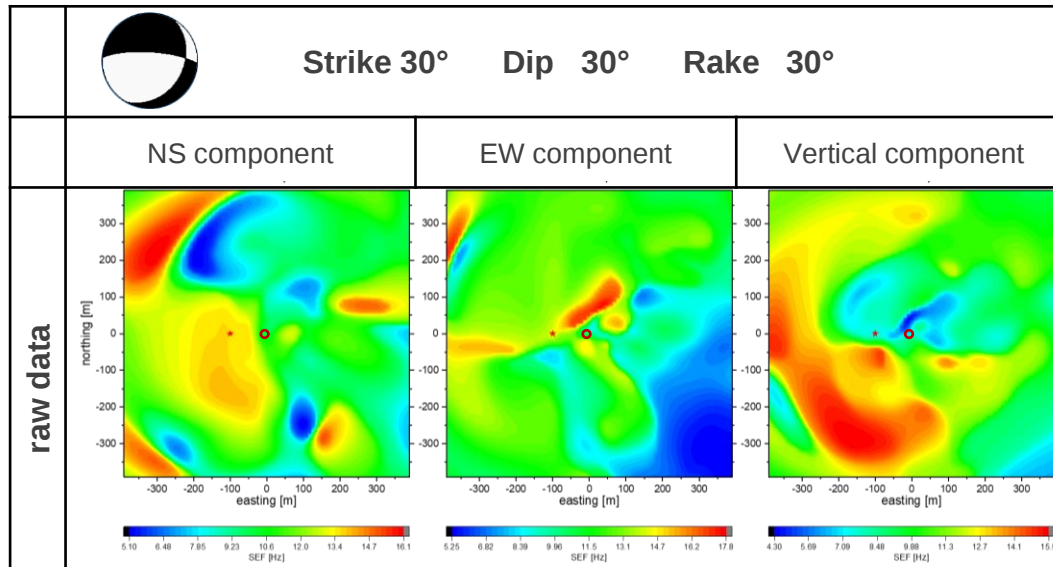
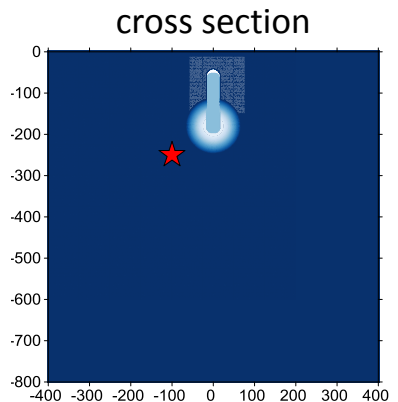
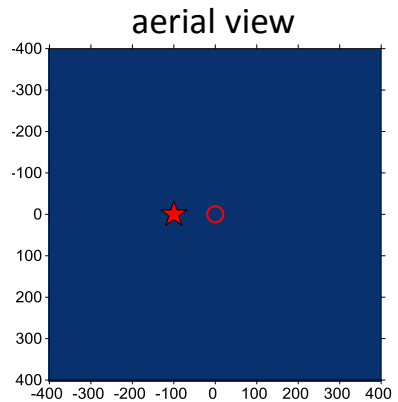
Results of analysis

- the effects of [cavity/apical-void filling](#)
- the effects of [attenuation](#)
- analysis of seismic wavefields due to [regional and distant seismic sources](#)
- **analysis of seismic wavefields due to [weak local aftershocks](#)**
- analysis of simulated [ambient noise](#)

analysis of wavefields due to point double-couple sources (aftershocks)

tuff, low-yield, fluid-filled apical void, minimal DOB

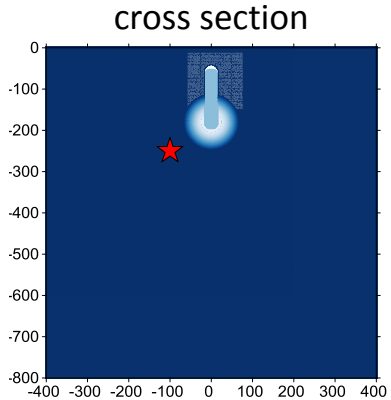
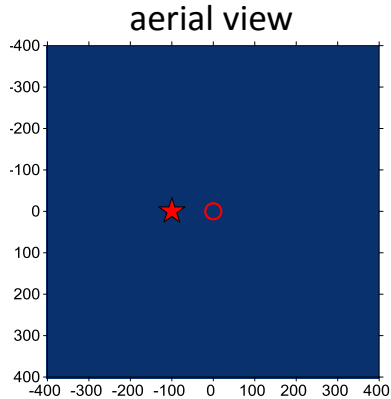
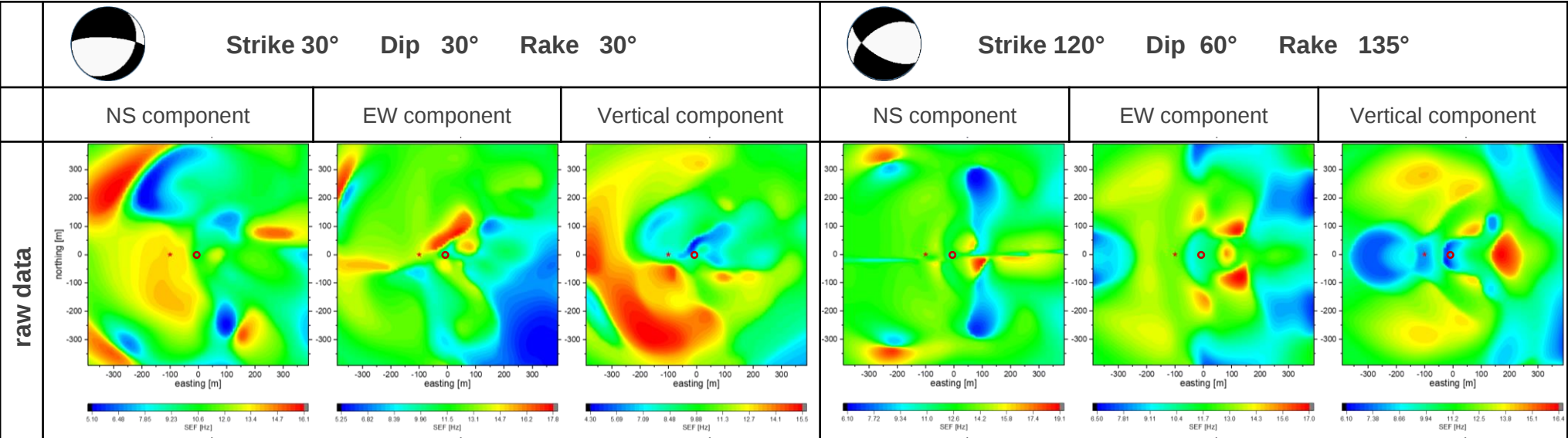
Median frequency (SEF 50%)



analysis of wavefields due to point double-couple sources (aftershocks)

tuff, low-yield, fluid-filled apical void, minimal DOB

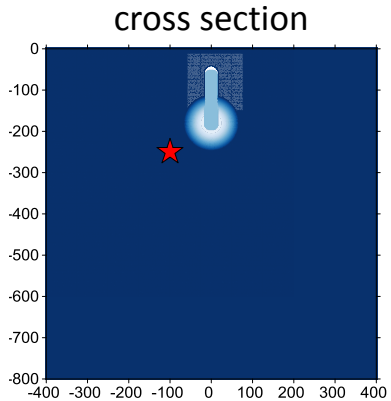
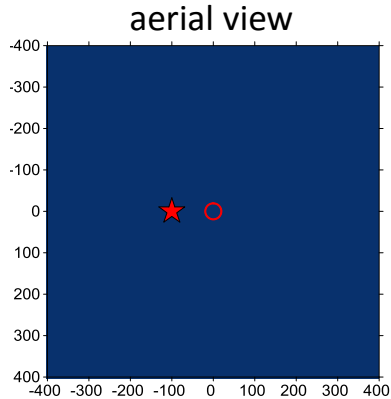
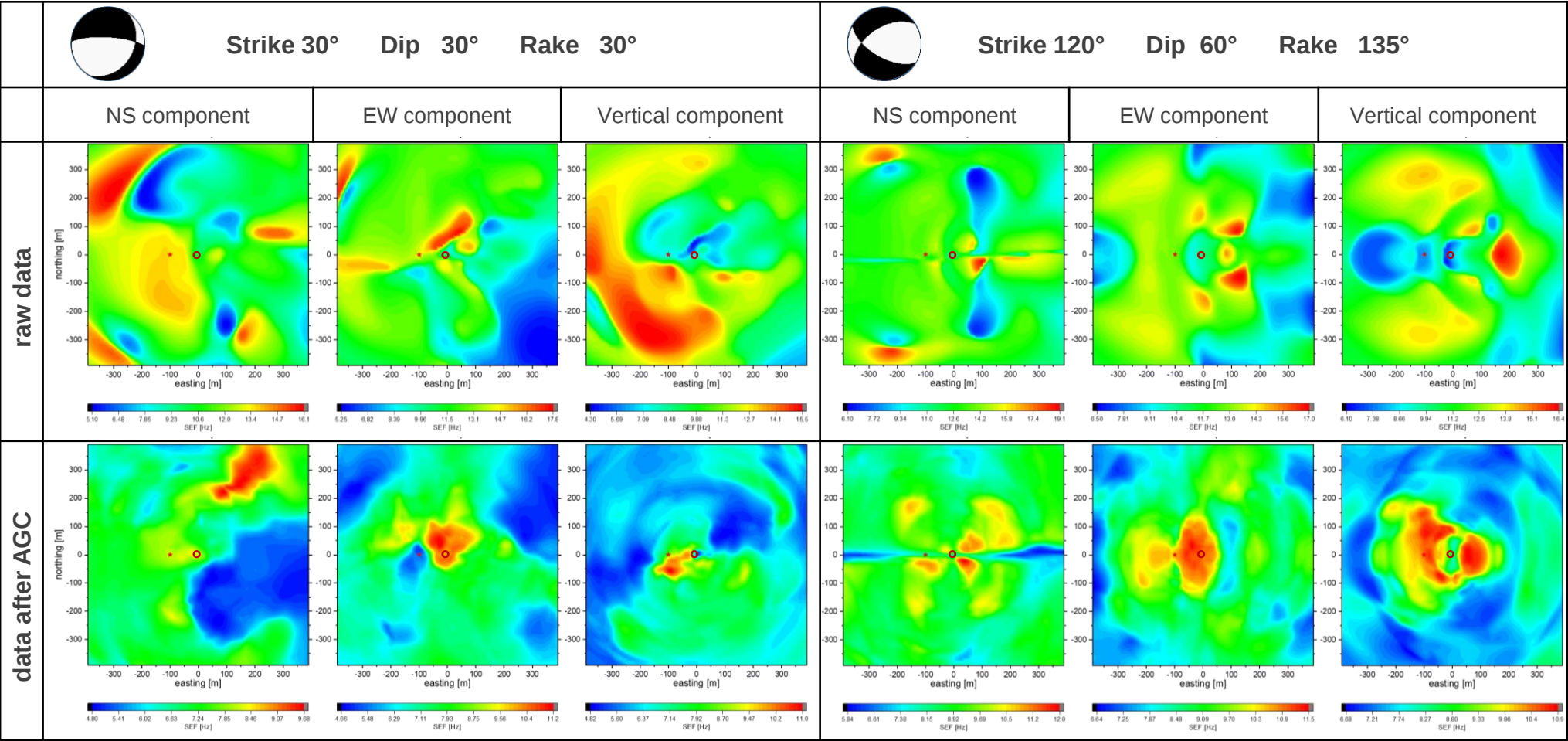
Median frequency (SEF 50%)



analysis of wavefields due to point double-couple sources (aftershocks)

tuff, low-yield, fluid-filled apical void, minimal DOB

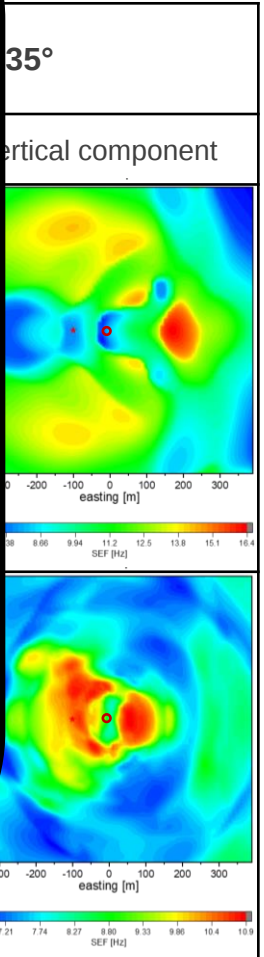
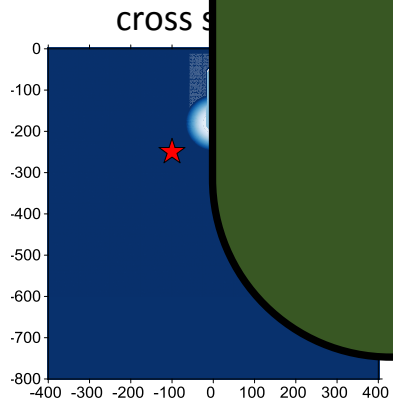
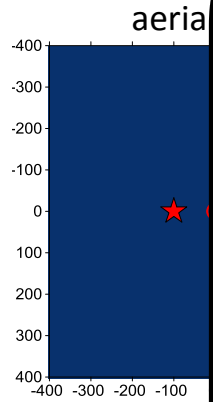
Median frequency (SEF 50%)



analysis of wavefields due to point double-couple sources (aftershocks)

A seismic wavefield due to a near aftershock is too much affected or dominated by the radiation patterns of the source.

This makes identification of cavity signatures very difficult from records of a single aftershock.



Results of analysis

- the effects of [cavity/apical-void filling](#)
- the effects of [attenuation](#)
- analysis of seismic wavefields due to [regional and distant seismic sources](#)
- analysis of seismic wavefields due to [weak local aftershocks](#)
- **analysis of simulated [ambient noise](#)**

Analysis of simulated ambient noise

Due to large computational requirements,
one numerical simulation of seismic noise provided maximum 4.5 min of noise.

Still not enough, because in practice
several tens of minutes (and even longer) of seismic records are used.

Therefore we tried to estimate
whether there is any hidden information about cavity position in spectrum
that could be extracted if sufficiently long noise records were available.

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We calculated
a **relative Power** –
Power evaluated from the difference
between
the complex Fourier spectra evaluated
for the seismic noise in the UNE-altered medium
and
for the seismic noise in the original (before UNE)
homogeneous halfspace.

Analysis of simulated ambient noise

Relative Power

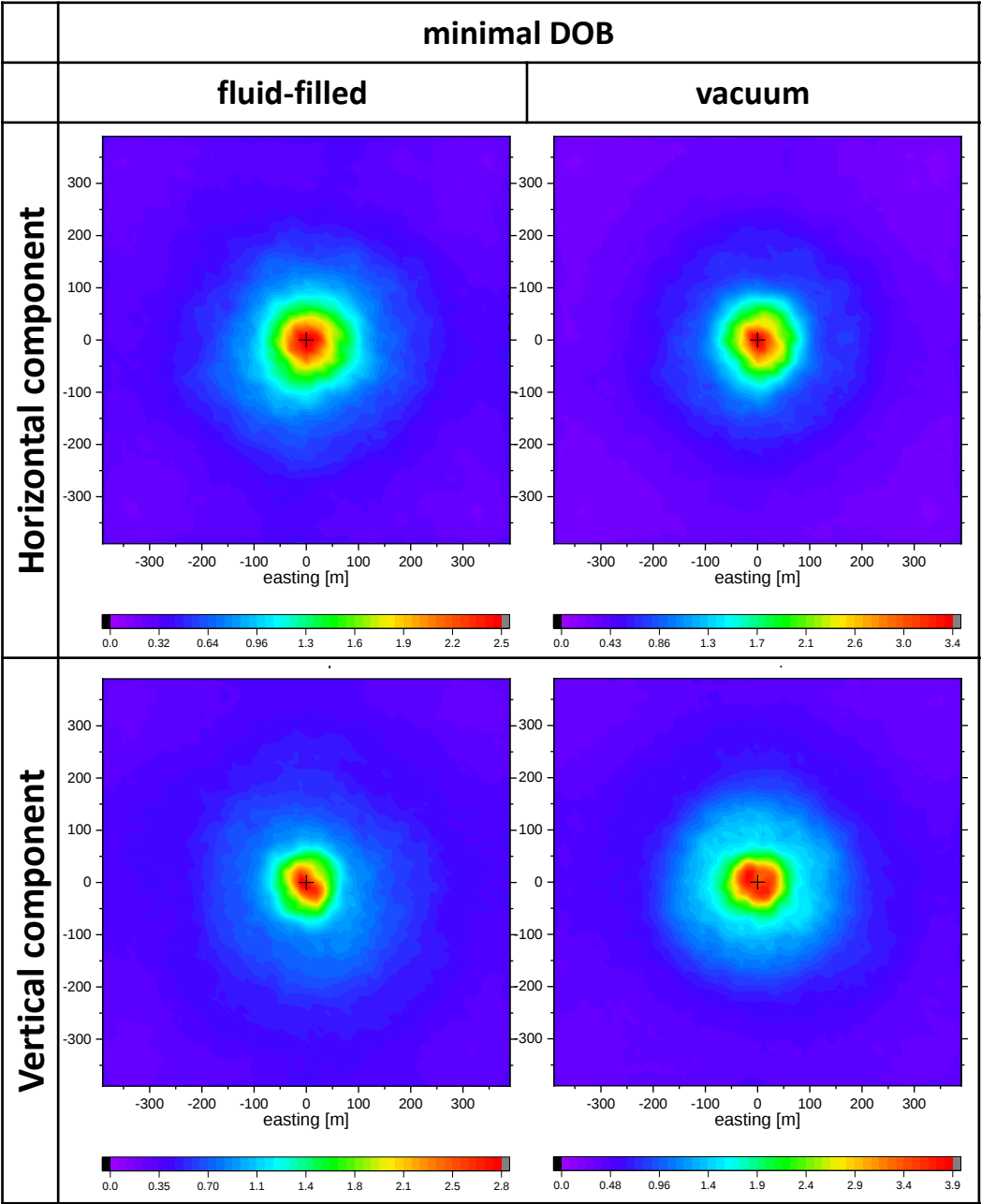
Select

Model

low yield

● tuff (with chimney)

○ rock salt



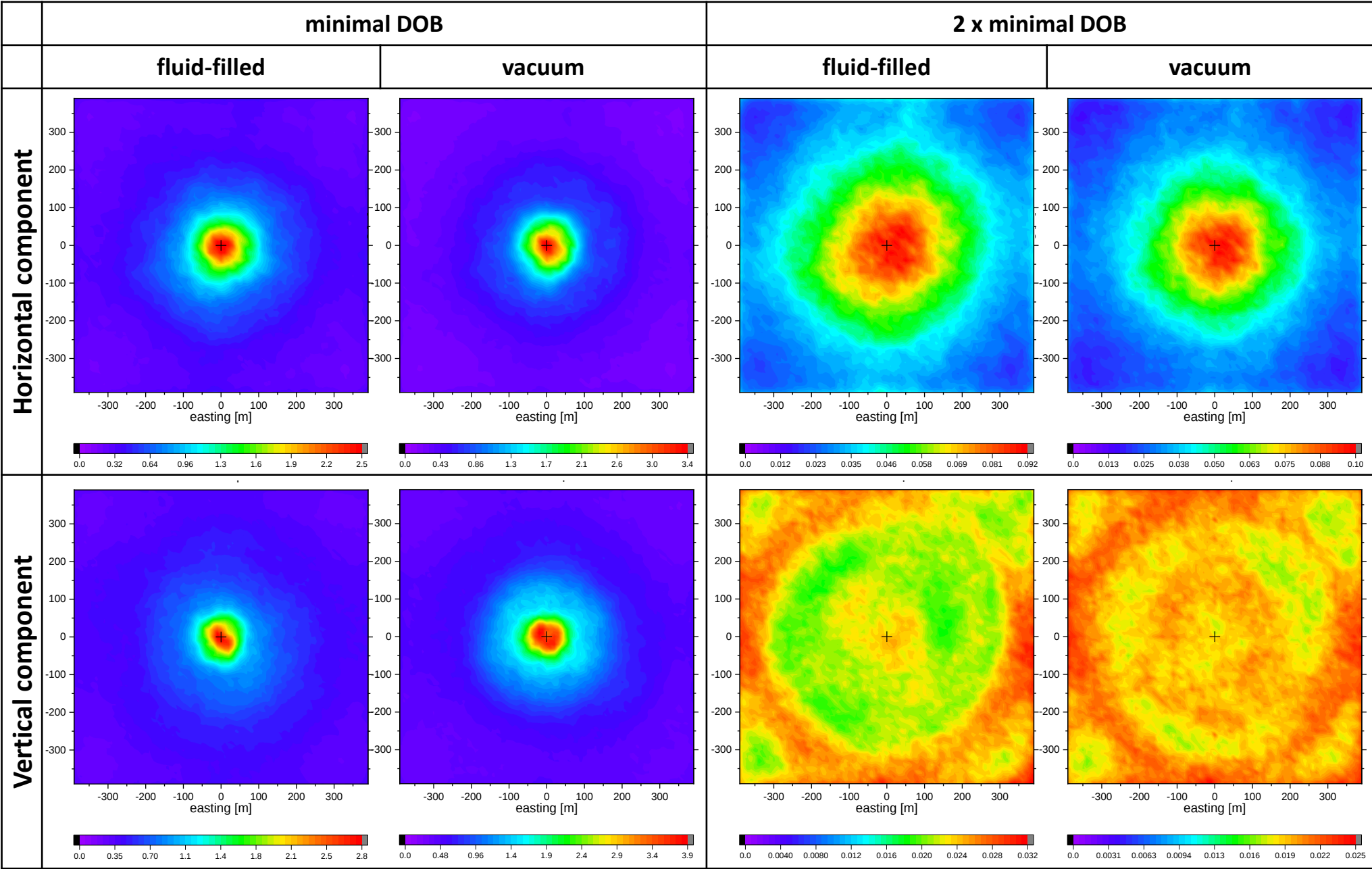
Analysis of simulated ambient noise

Relative Power

Select

Model
low yield

-  tuff (with chimney)
-  rock salt



Analysis of simulated ambient noise

Relative Power

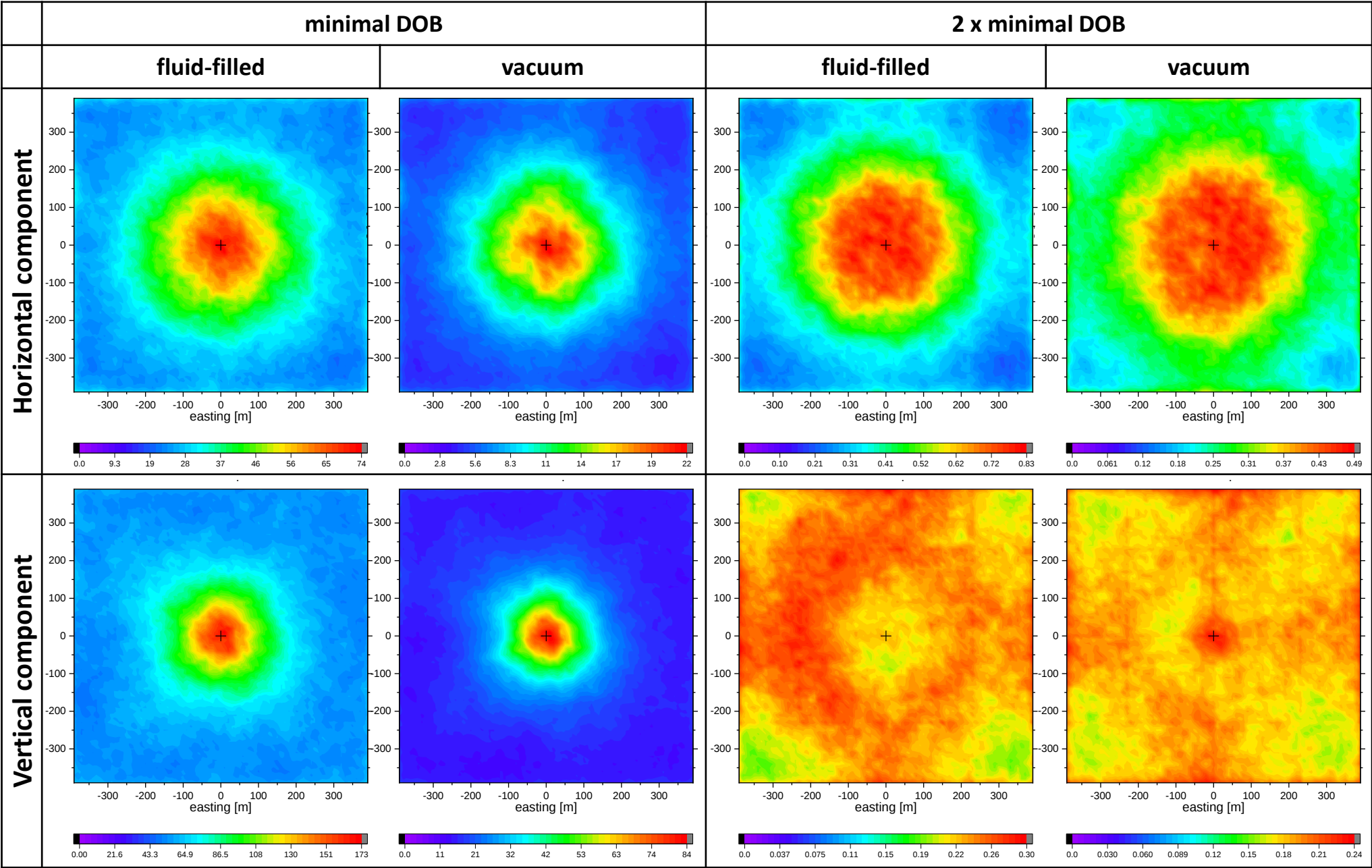
Select

Model

low yield

○ tuff (with chimney)

● rock salt



Analysis of simulated ambient noise

Relative Power

Select

Scaling

- ☒ local, linear
- ☐ global, logarithmic

Model

low yield

- ☒ tuff (with chimney)
- ☐ rock salt

minimal DOB

fluid-filled

vacuum

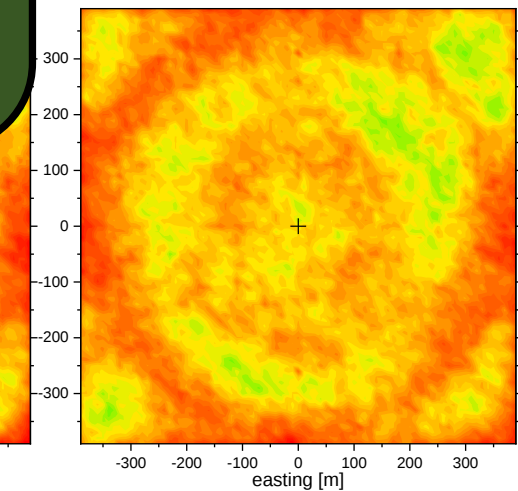
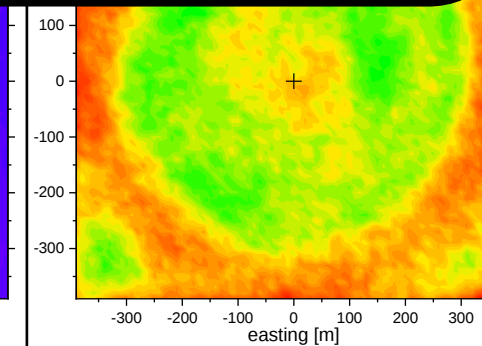
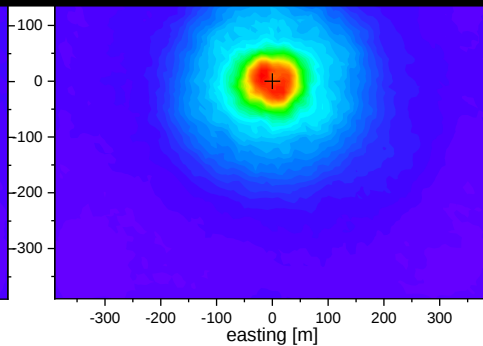
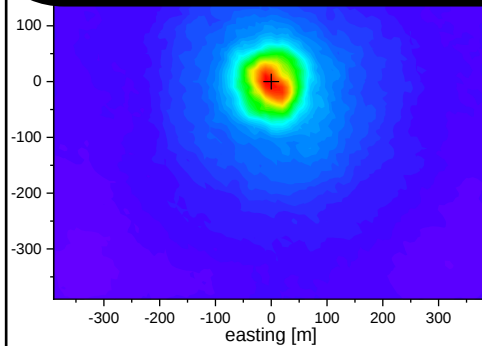
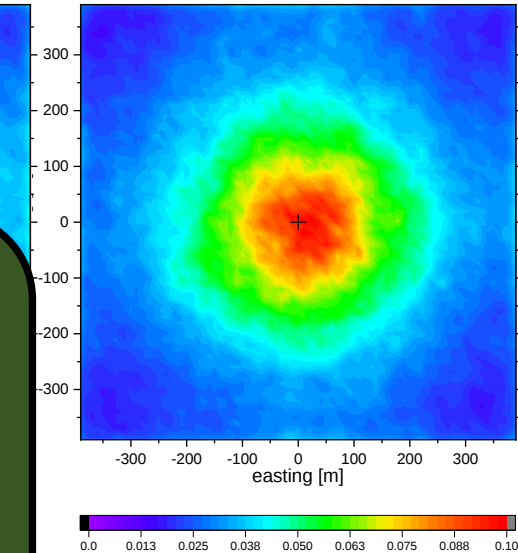
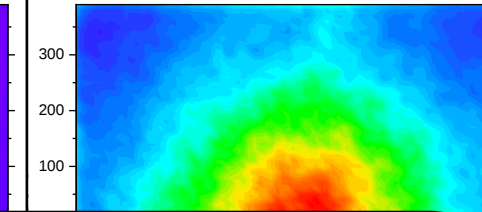
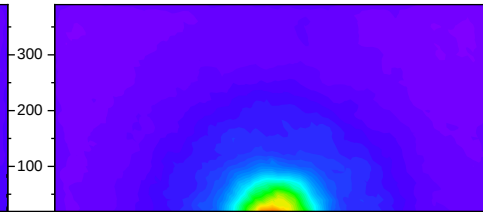
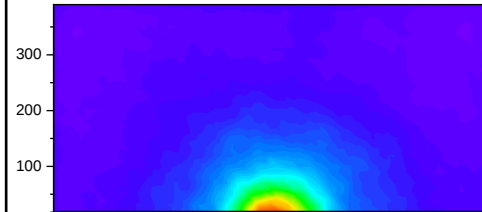
2 x minimal DOB

fluid-filled

vacuum

Horizontal component

Vertical component



The results indicate that the UNE-altered medium has an effect on the noise spectral content but the effect vanishes with very large DOB.

- **We have developed a set of realistic structural models** of the underground structure after an underground nuclear explosion.
- **We performed an extensive numerical simulations** of seismic wavefields due to plane-wave excitation, point double-couple sources, and random ambient noise sources using forward 3D finite-difference method.

- **TFRs and FTFs**, though having similar patterns for different models (type of the rock environment, filling of the cavity/apical void), **indicate various specific frequencies at which seismic motion is excited/amplified** due to different model parameters.
- We showed that the **characteristics of SEFs provide a robust tool** for identifying redistribution of energy in the spectral domain as a function of spatial position of a seismometer **and can be used to identify cavity position.**

- **The potential to identify cavity position is considerably larger for regional/distant sources than for local aftershocks.** This is because the seismic wavefield due to an aftershock is considerably affected or even dominated by the radiation pattern of the source.
- Our simulations and analyses of the seismic noise **indicate possibility to use for identification of cavity position also records of seismic noise.**
It is, probably, necessary to acquire sufficiently long records of the seismic noise.

An aerial photograph of a coastal region. A large body of water is visible on the right side, with a long pier or breakwater extending from the shore into it. The land area is characterized by various shades of brown and tan, suggesting a mix of vegetation and bare ground. The overall scene is captured from a high angle, providing a wide view of the landscape.

Thank you for your attention