

# SnT 2019

CTBT: SCIENCE AND TECHNOLOGY CONFERENCE

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Continuous assessing of the Reviewed Event Bulletin  
with waveform cross correlation



# Outline

- Spot Check Highlights
- Selection of Master Events
- Automatic Spot Check
- Interactive Spot Check
- Spot Check Tool Architecture
- Discussion

## Spot Check Highlights

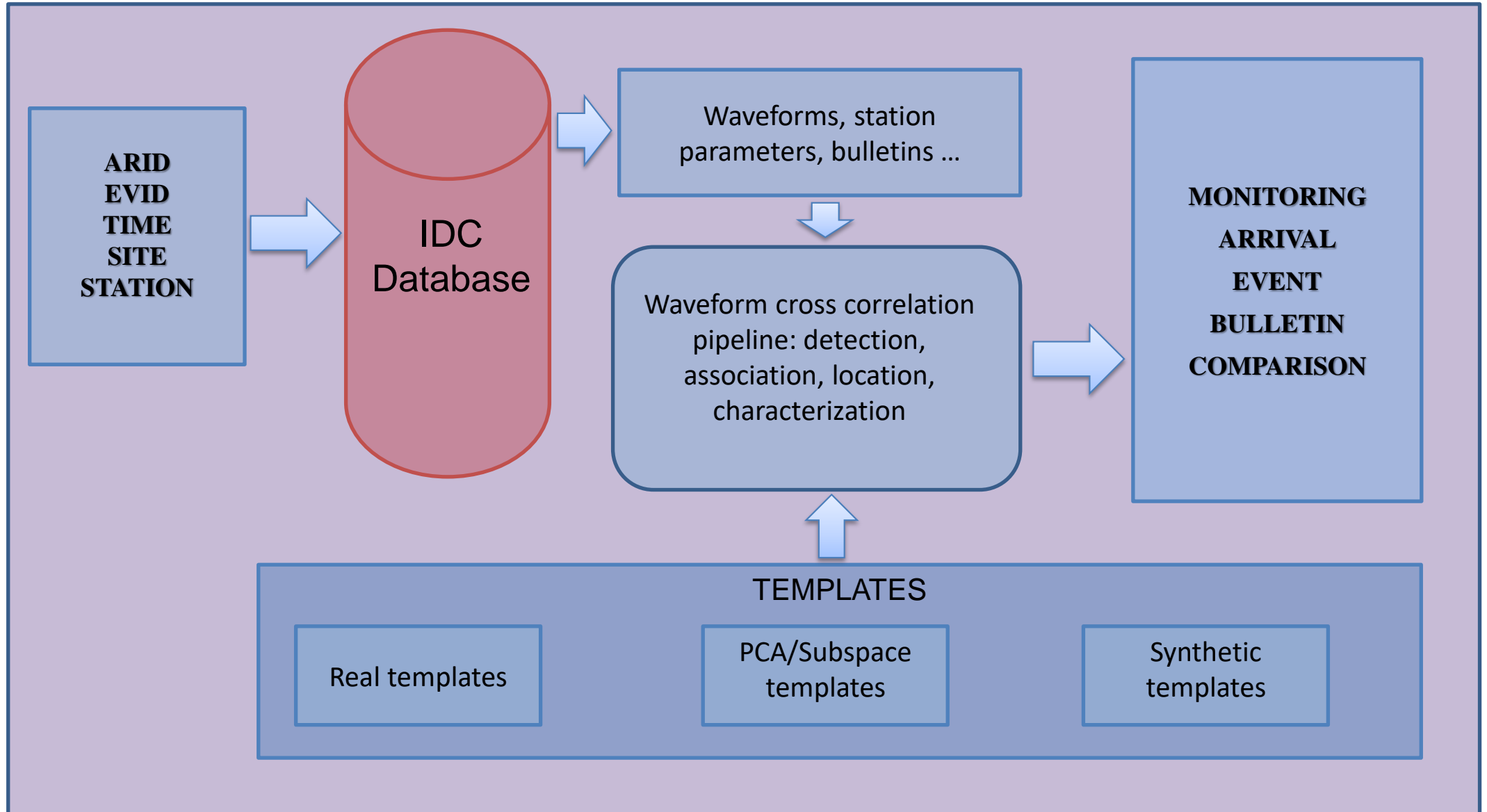
- Aimed at improvement of the Reviewed Event Bulletin (REB) quality
- Final level of the interactive review hierarchy (independent review)
- Provides an extended check of bulletin and the analysis quality
- Identifying both consistencies and inconsistencies in the REB
- Reviewed areas usually include event location anomalies, seriously mislocated events, missing large events, and unqualified or 'bogus' events representing incorrect / invalid data associations or incorrect detections, or REB events not meeting IDC event definition criteria, EDC
- One more implementation of the master-event approach
- Teleseismic and regional, natural and man-made sources, seismic and infrasound technologies.

## *Spot Check Tool is the Spot Check Implementation at the IDC*

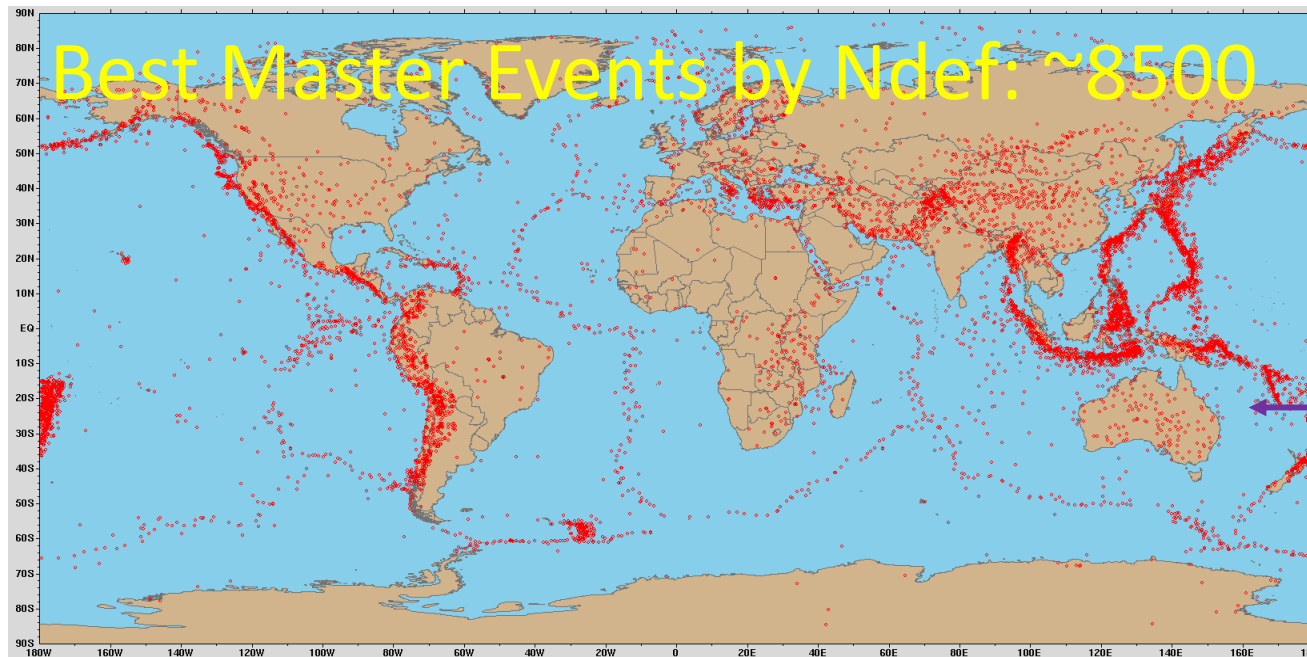
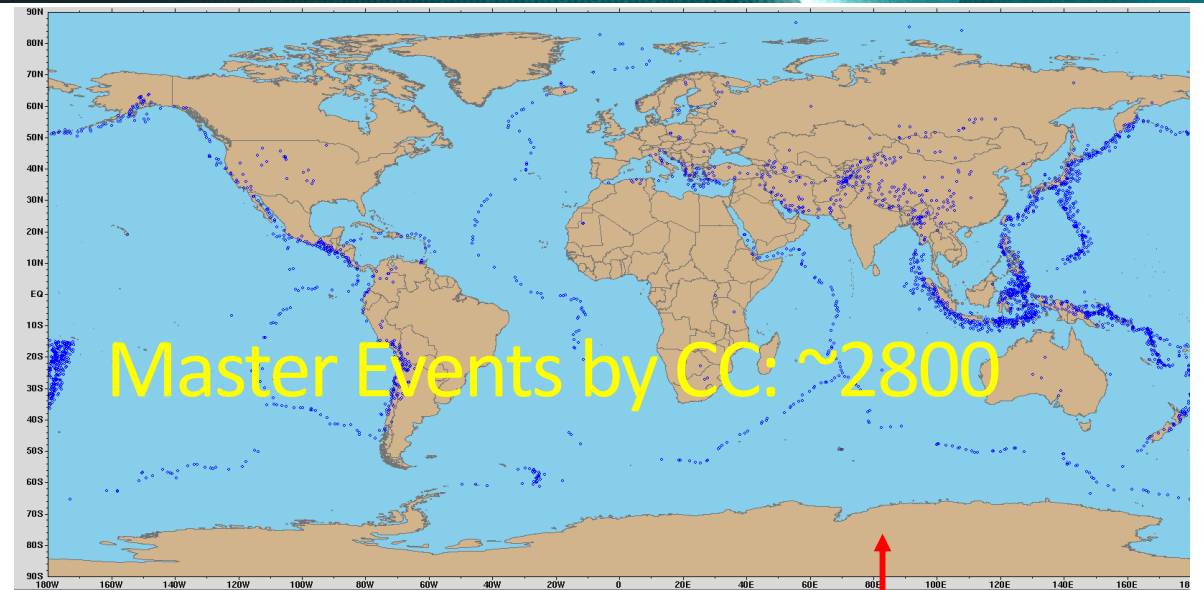
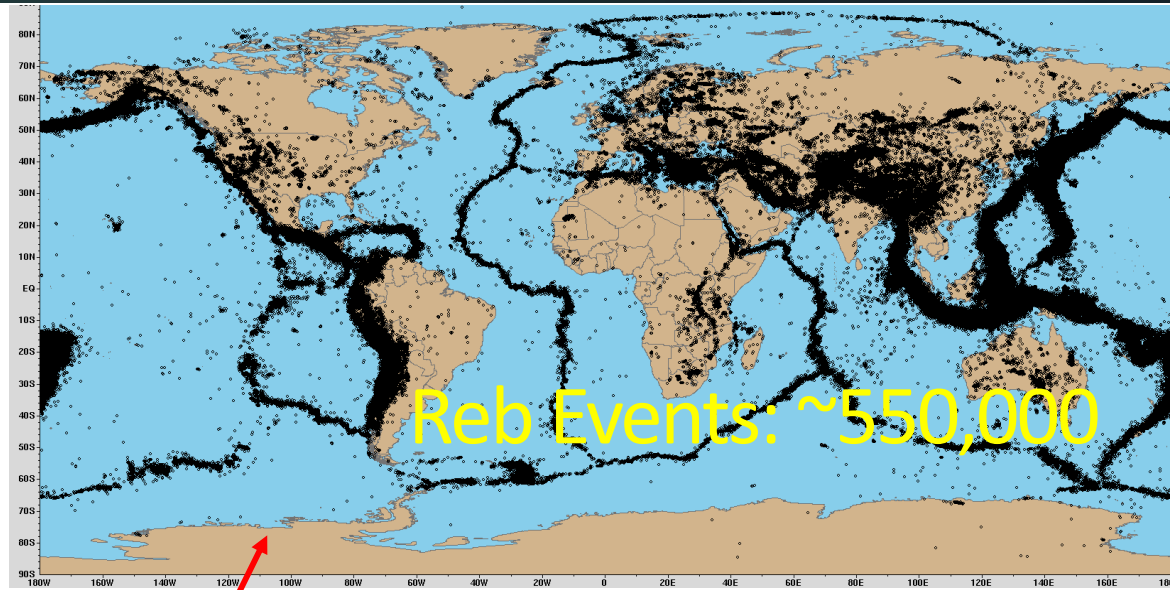
- *SCT development started as the CC-based techniques are in use for many years at the IDC.*
- *SCT development: to facilitate a work of the Independent Reviewer (IR)*
- *Underlying methodology: waveform cross correlation involving master-event approach*
- *Modes: Interactive and Automatic*
  - *Interactive: under flexible scenario developed by the IR*
  - *Automatic: on daily basis, building XSEL (cross correlation standard event list) and conducting automatic comparison with SELs (same day analysis) and REB (upon its completion) bulletins. *Inherits functionality of the Spot Check Prototype.**

# Spot Check Tool Background

## Generalized Spot Check Diagram



# Spot Check Tool Background Master Event Selection



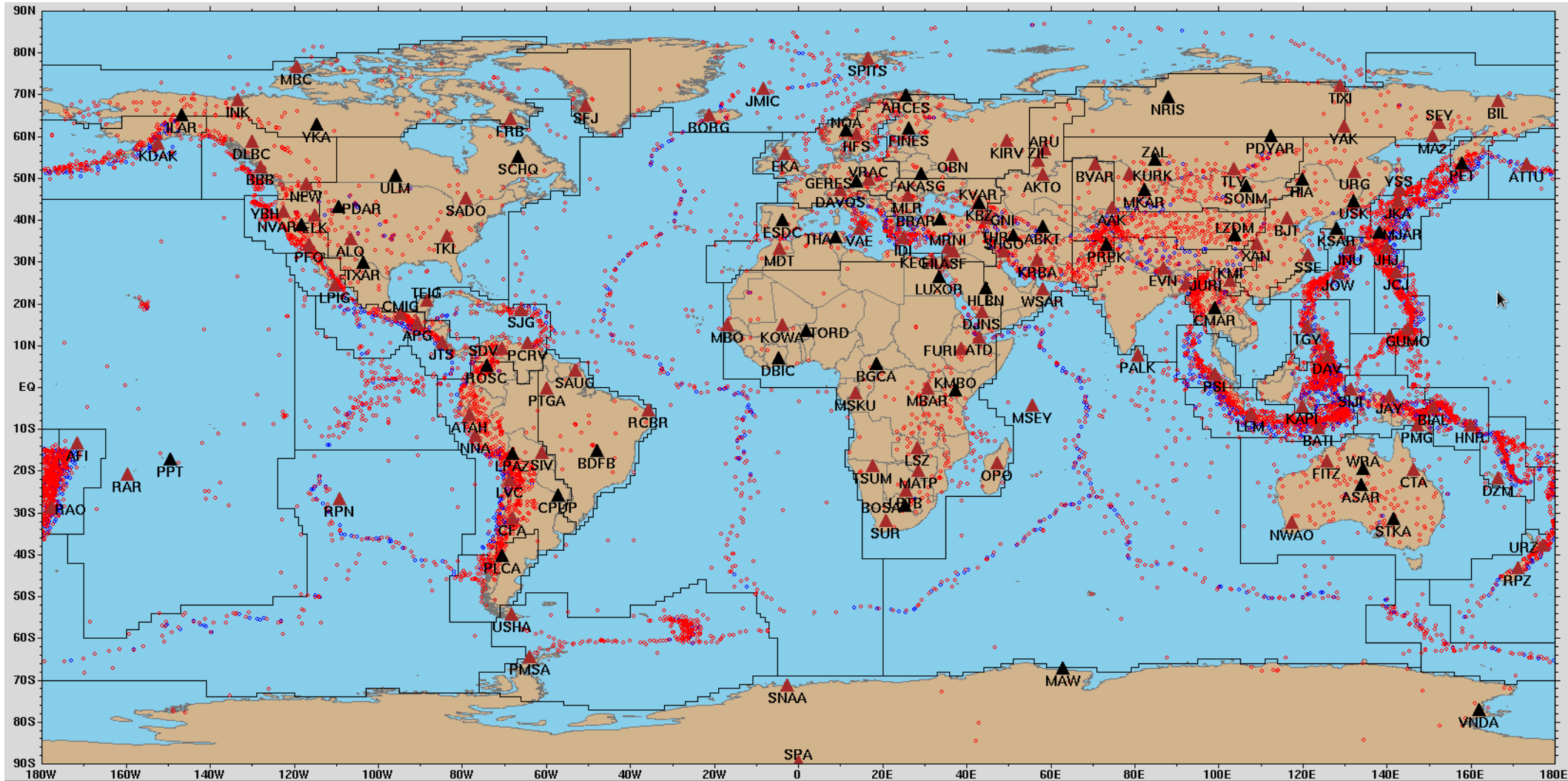
There are currently >550,000 REB events with two or more primary seismic stations. All these events can be used as master events in waveform cross correlation. More than 100 new REB events are added every day.

All REB events in each 1x1 degree cell are cross correlated to select one most efficient master event in the cell. At least 40 REB events have to be within each cell. Depth cells: 40 km thick from 0 to 700.

For the globe divided into 1x1 degree cells, we select one REB event (mb<5) by NDEF as a representative master event in each cell if available. Depth cells: 40 km thick from 0 to 700.

# Spot Check Tool Background

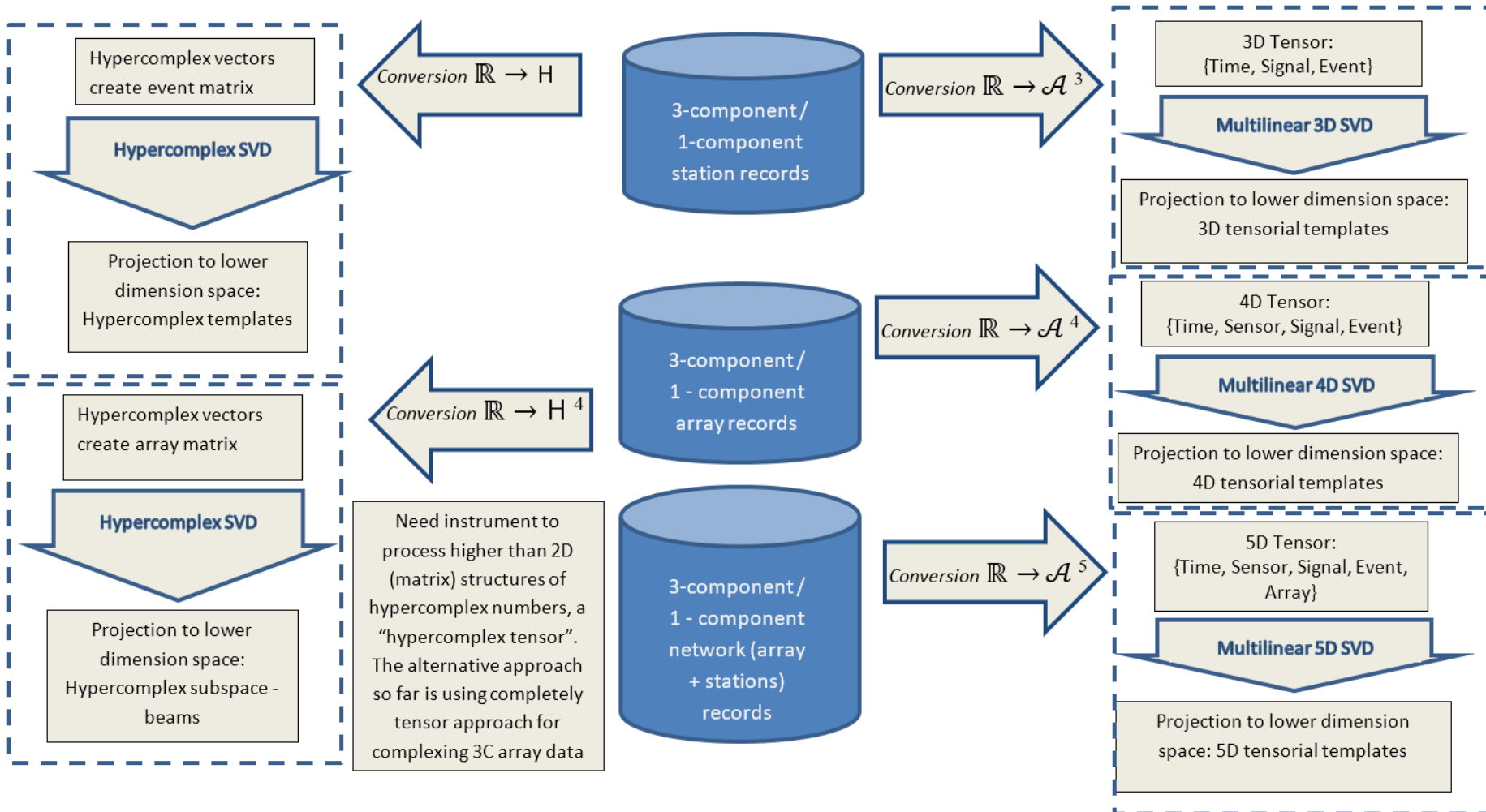
## Station Input Depends On Seismic Region



Division of ME by seismic regions expresses predominant tectonic regime and geology, i.e. source mechanisms of seismic events and propagation characteristics. Such ME are likely representative, and thus, more efficient in SCT search.



### Synthetic/Subspace master event extension: dimensionality reduction with multidimensional templates + **N-D** noise reduction



#### High-order dimensionality reduction methods

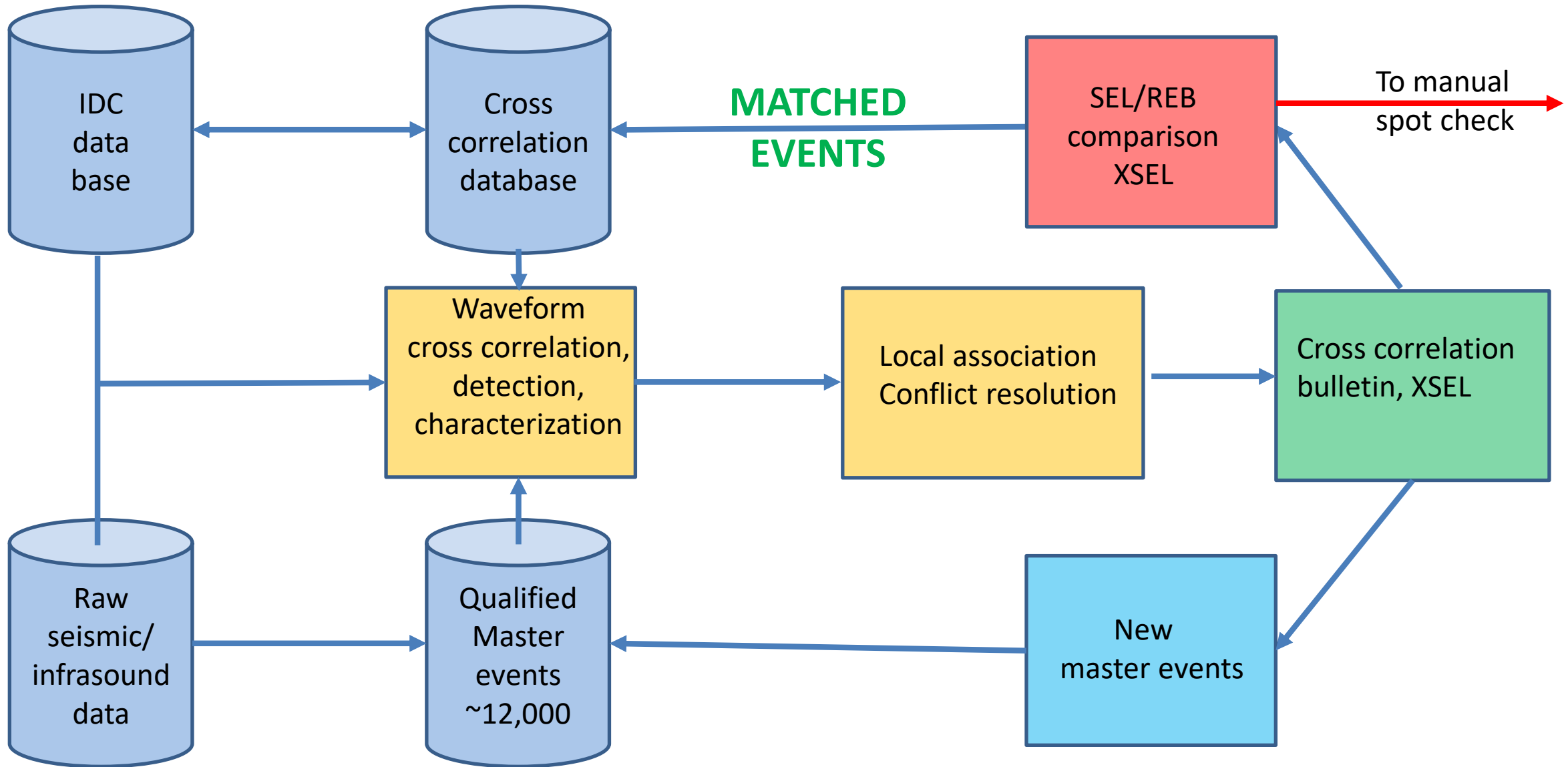
- Multidimensional discrete cosine transform (DCT)
- Tensor interpolation
- Tensor SVD
- 2D Fourier transform
- Hyper-complex decomposition of data from 3C stations

#### Object

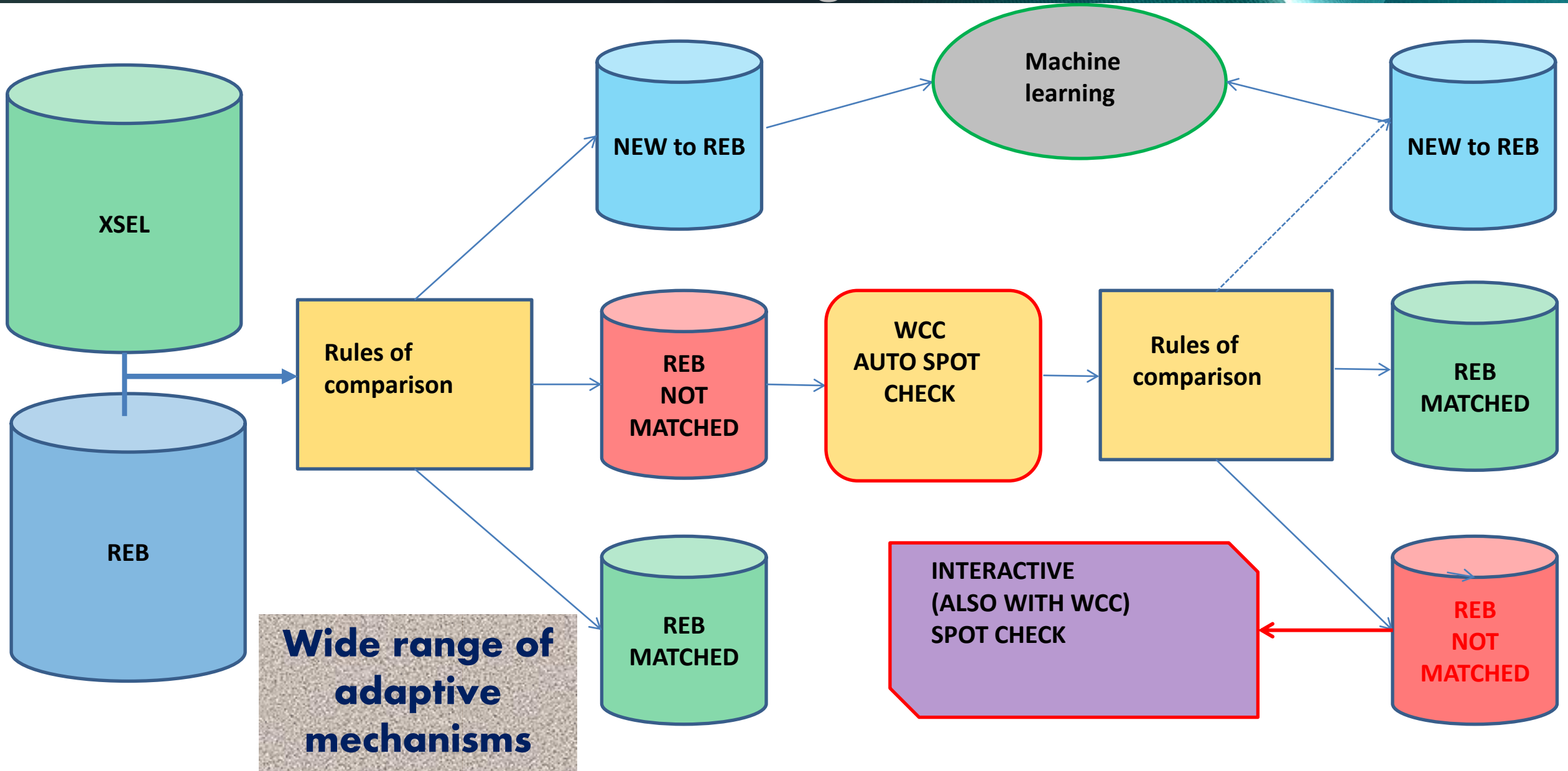
- 3C station
- Vertical array stations
- 3-C array station
- Inhomogeneous network (global and station templates)

# Spot Check Tool Diagram

## Automatic Spot Check Mode (best practice)



# Spot Check Tool Diagram Overall Processing



## Spot Check Tool Architecture

- *Information backbone*: historical IDC database, allows for testing current event hypotheses with the archived event bulletins using master event approach
- *SCT frontend*: Liferay IDC portal; allows access to the tool to the IR, lead analysts and wider (authorized) CTBT expert community
- *SCT computational backend*:
  - distributed, including servers and workstations
  - Presently SCT-prototype code
  - To migrate to the GPU-server in future

## What is SCT front-end?

- SCT front-end is Liferay.
- Liferay is an Enterprise Portal which provides a development framework for new applications or customization (it can also provide a framework for the ETA/SS applications). Liferay Portal is developed using an open source methodology.

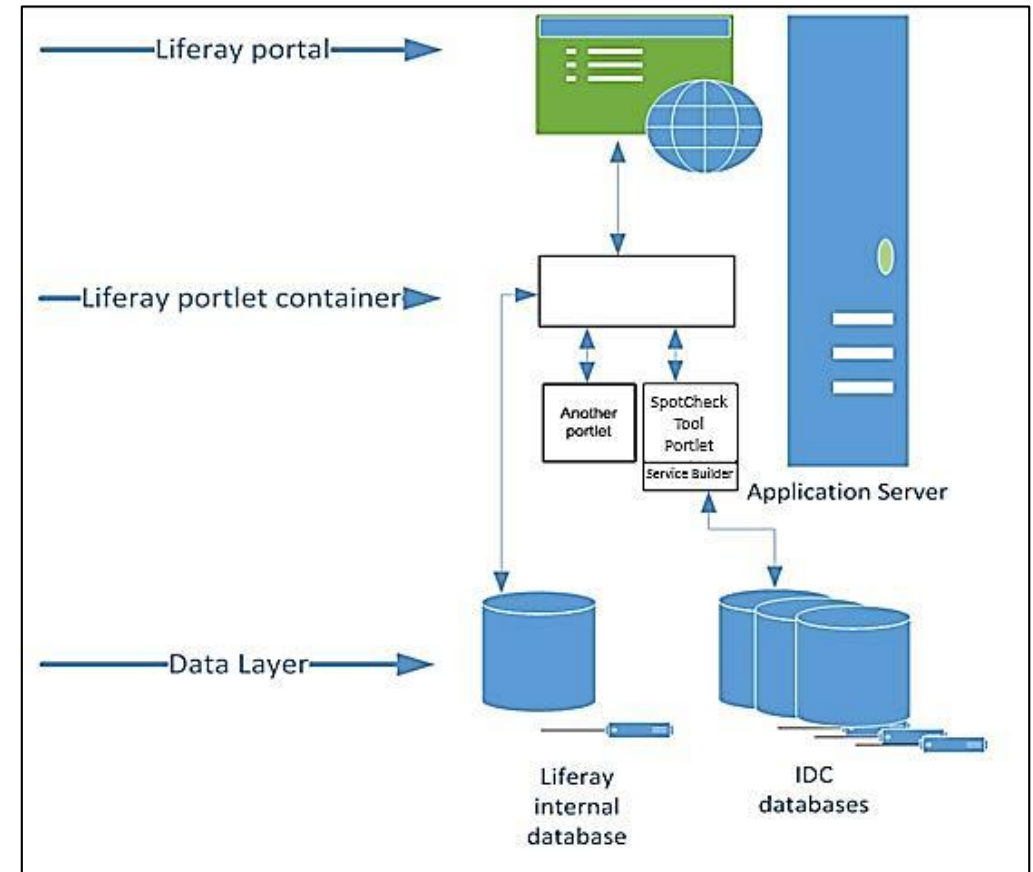


LIFERAY.

- Liferay Portal works in four key areas:

- ✓ Web Content Management
- ✓ User collaboration
- ✓ Development Platform
- ✓ Customization

**IDC Secure Web Portal is built with Liferay**



## SCT User Interface:

**Data type:**  **Preset name:**

**Start time:**

**Search Modes:**  Cross Correlation  Delection  Association  Comparison

**Filters:**

**ORIDS selection:**

**Bulletin:**  **Start Day:**    **End Day:**    **ORID:**

**Latitude from:**    **to:**

**Longitude from:**    **to:**

**Magnitude from:**    **to:**

**Depth from:**    **to:**

**Configuration file:**

```
{
  "workMode": "1",
  "advancedMode": false,
  "startTime": "2019/05/31 12:04",
  "regions": [],
  "stations": [],
  "stations configuration": {
```

**List of current jobs**

Basic search by date/time

Basic search with orid

Basic search by lat/lon/mag/depth

Data type:  Preset name:

Start time:

Cross Correlation | Detection

All regions -

Select All  
 Unselect All  
 Select matched items

Search...

- ALASKA - ALEUTIAN ARC
- E. ALASKA TO VANCOUVER ISLAND
- CALIFORNIA - NEVADA REGION
- BAJA CALIF. AND GULF OF CALIF.
- MEXICO - GUATEMALA AREA
- CENTRAL AMERICA

End Day:

Magnitude from:  to:

Depth from:  to:

Event selection

Total weight of events as a sum of station probabilities:

Stati...	Weig...
AAK	10
AKASG	10
AKTO	10
ANMO	10
ARTI	10
ARU	10
ASF	10
ATD	10
BBB	10
BGCA	10

Allowed origin time residual of defining phase:

Phase	Time,...
P	3
PP	5
PS	3
PPP	6

Defining stations for the studied master events:

Station na...
<input checked="" type="checkbox"/> AAK
<input checked="" type="checkbox"/> AKASG
<input checked="" type="checkbox"/> AKTO
<input checked="" type="checkbox"/> ANMO
<input checked="" type="checkbox"/> ARTI
<input checked="" type="checkbox"/> ARU
<input checked="" type="checkbox"/> ASF
<input checked="" type="checkbox"/> ATD
<input checked="" type="checkbox"/> BBB
<input checked="" type="checkbox"/> BGCA

Station selection

# stations defining a valid event hypothesis:

Size of location grid, km:

# of nodes in the location grid:

Depth from:  to:

Add auxiliary stations

Find events with REB event definition criteria

Cross Correlation | Detection | Association | Comparison

Detection Threshold

Station dependent

Station:

Filter:

Detection settings:

Detector type:

Duration:

LTA, s:

STA, s:

Historical performance

Setting up detection parameters

Master Dependent

MasterID	Magnitude	Station SNR	Distance	Depth
106	4	11.2	120.34	8.3
107	3.5	10.8	110.54	5.2
			133.67	6.9
			249.32	7.1

RMSQ value:

SNR definition:

DFX detection

## SCT User Interface

Choice of Master Events by principal characteristics

Proximity Type:  Proximity Value:

Latitude from:  to:

Longitude from:  to:

Magnitude from:  to:

Depth from:  to:

Natural  QC based  Nuclear  
 Synthetic  Seismic  
 Subspace  Infrasound

Master event selection

Cross Correlation | Detection | Association | Comparison

SCT main folders

- SEL
- REB
- External bulletin

Allowed arrival time difference, s:

# of coinciding phases:

Magnitude difference:

Allowed origin time difference, s:

Depth difference:

Location difference:

Comparison folder, XSEL vs. REB, XSEL vs. SEL

Filters editor

FilterID	Name	Type	Order	Low Fre...	High Fre...	Load date
1	First filter	LP	2	2	0	2019-03-20 10:02:23.624
2	Second filter	BP	2	2	10	2019-03-21 08:13:38.524
3	Third filter	HP	3	0	8	2019-03-21 08:14:29.74
4	Fourth filter	LP	3	3	0	2019-03-21 08:15:11.772

Setting up filter parameters

Latitude from:  to:

Results filter

All regions -

All stations -

Start time: 2019/06/06 19:21     
 Duration: 5  Hour

Latitude from: 0  to: 0   
 Longitude from: 0  to: 0

Magnitude from: 0  to: 0   
 Depth from: 0  to: 0



- Switch to Table mode
- Browse waveforms
- View log
- View report - TXT
- View report - PDF
- View report - GZIP

## SCT Result Screen

Results filter

All regions -

All stations -

Start time: 2019/05/31 11:12     
 Duration: 5  Hour

Latitude from: 0  to: 0   
 Longitude from: 0  to: 0   
 Magnitude from: 0  to: 0   
 Depth from: 0  to: 0



- Switch to Table mode
- Browse waveforms
- View log
- View report - TXT
- View report - PDF
- View report - GZIP

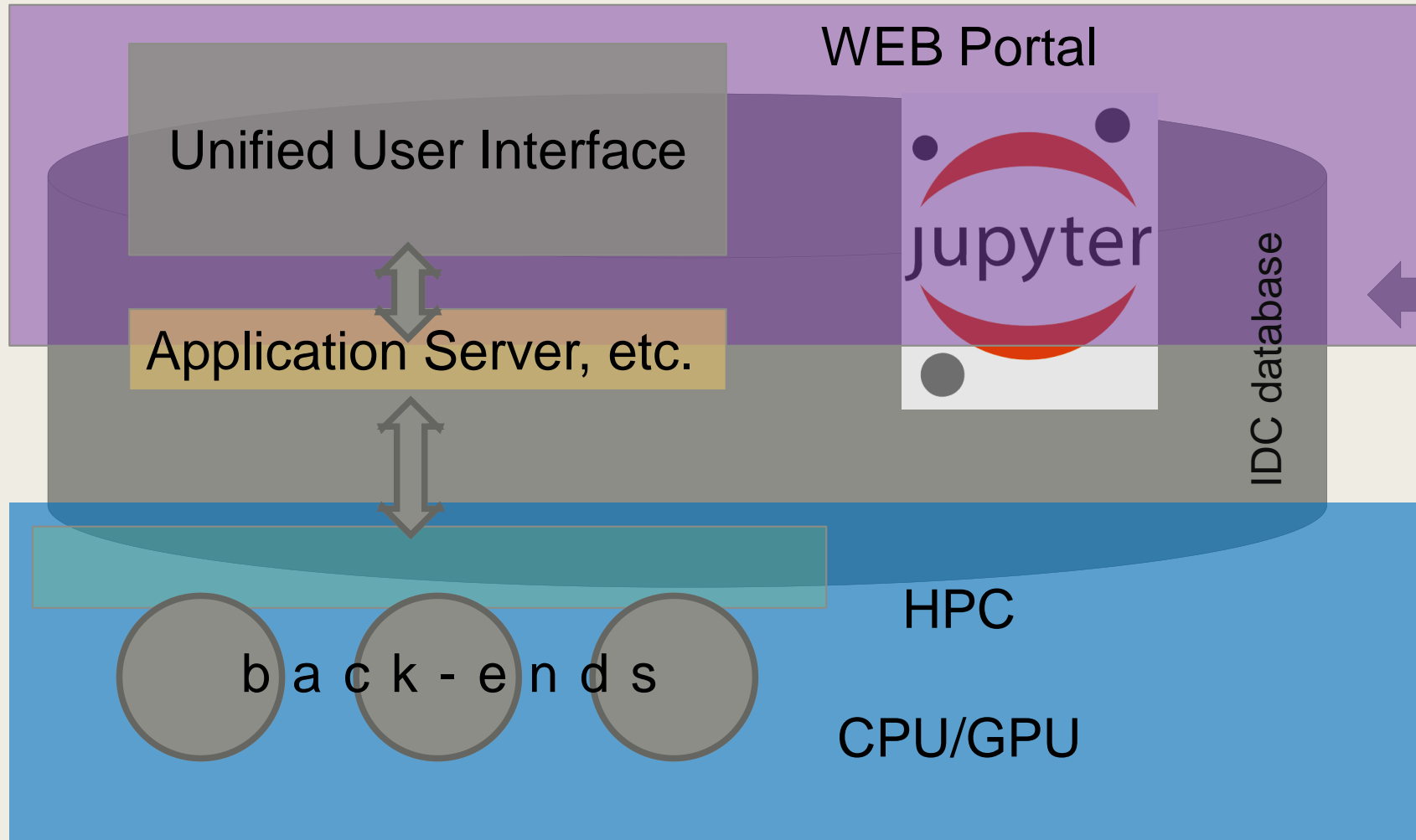
#	MASTER	HH:MM:SS	OT_STDEV	LAT,deg	LON,deg	DEPTH,km	MB	MB_STDEV	NAS
34	8021164	3:17:55.78	0.13	42.050	42.270	0.0	3.49	0.27	3
STA	DELTA	SEAZ	HH:MM:SS.SS	TIMERES,s	TT_EVENT		SNRCC	SNR	
KBZ	1.48	326.13	3:18:24.79	0.164		28.80	6.2	6.6	
BRTR	8.33	254.03	3:19:41.25	-0.019		106.50	5.3	2.9	
FINES	21.82	336.51	3:22:48.46	-0.145		293.88	4.4	2.3	

## Spot Check Tool Additional Features

- National event check (in a manner of national event screening) tool
- Not just an Independent Reviewer tool but an Expert Technical Analysis (ETA) or Special Study (SS) tool as well.
- A developed infrastructure can be utilized by another ETA/SS tools considering the *Liferay* as a user interface provider. In other words, current development provides the encapsulation framework for ETA/SS methods.
- A State Requested Method Reports (SRMR) of the ETA can also be generated using this approach if the NDC-supplied methods are hosted within given frameworks.

## SCT generalized architecture

ETA/SS GENERALIZED FRAMEWORK



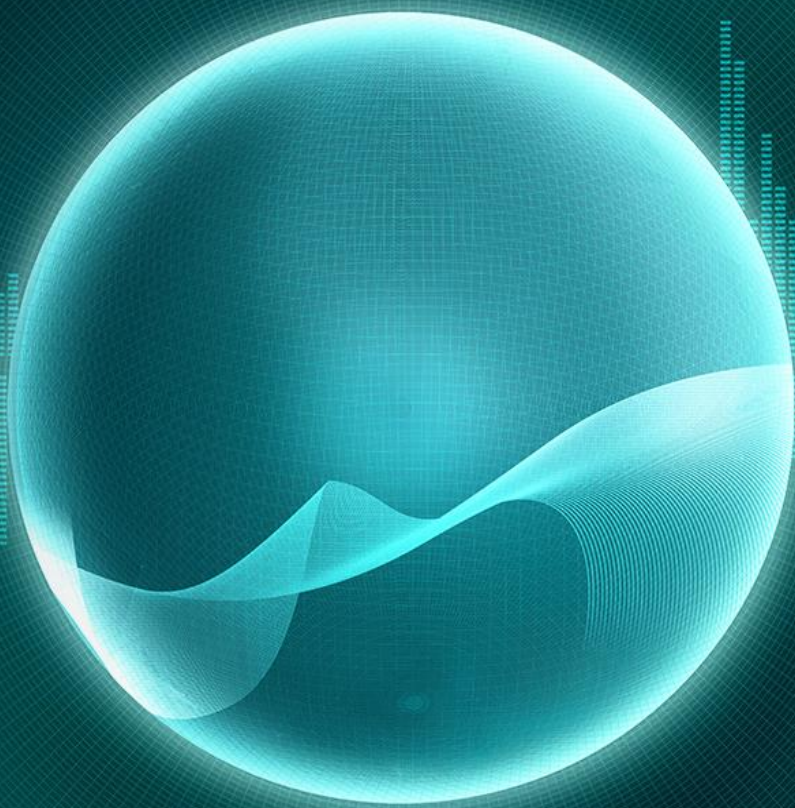
Back-end code:

- Extended screening
- Extended event review
- Other

## Conclusion:

- Developed architecture hosts a Spot Check Tool back-end and provide a flexible User Interface for configuring automatic and interactive SCT and output results according to the IDC formats and standards
- A tool for the Review Officer for in depth analysis of historical (REB) and real-time (SEL1-SEL3) events
- A tool for the Expert Technical Analysis and Updated Event Bulletin (UEB) production
- Adaptive mechanisms widely used in the SCT allow for continuous incorporation of new information (e.g. machine learning based on valid/invalid event classification by analysts) into interactive and automatic processing also with daily increasing number of master events
- A cross correlation based matched filter detector provides a higher sensitivity and resolution with a competitive false alert rate

**THANK YOU**



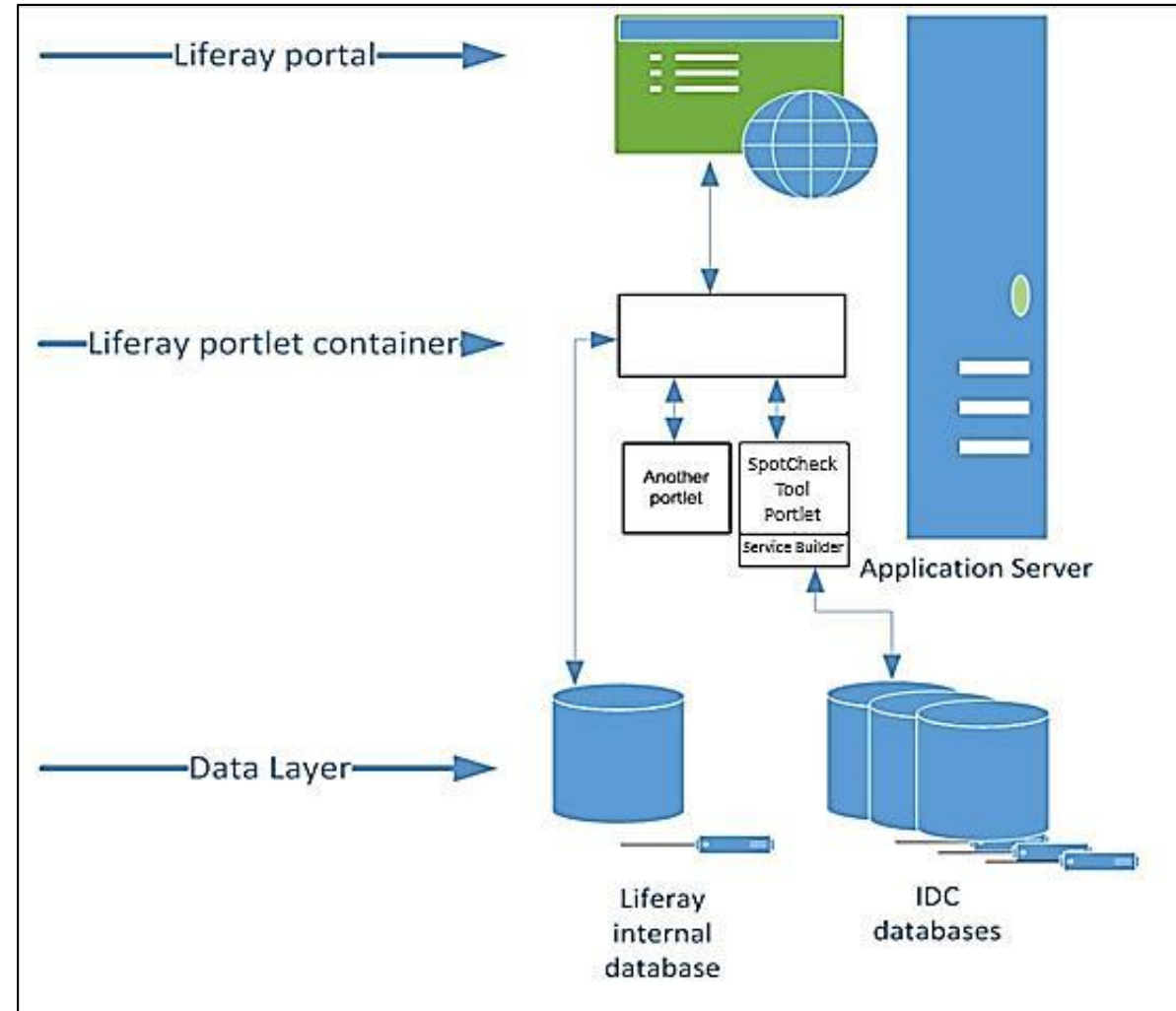
# Spot Check Tool Additional Information

**Portal** – provides general services like authentication, themes, email, users collaboration etc.

**Portlet container** - runtime environment for portlets using the JSR 286 Portlet specification, in which portlets are instantiated, used, and finally destroyed.

**Portlet - Web-based component** that will process requests and generate dynamic content. The end-user would essentially see a portlet as being a specialized content area within a Web page that occupies a small window in the portal page. Depending on the content nature of the Web site providing the portlet you could use this area to receive different types of information. The portlet provides users with the capability to customize the content, appearance and position of the portlet.

**Service Builder** – Liferay built-in Object-Relational Mapping System



## SCT Data Flow diagram

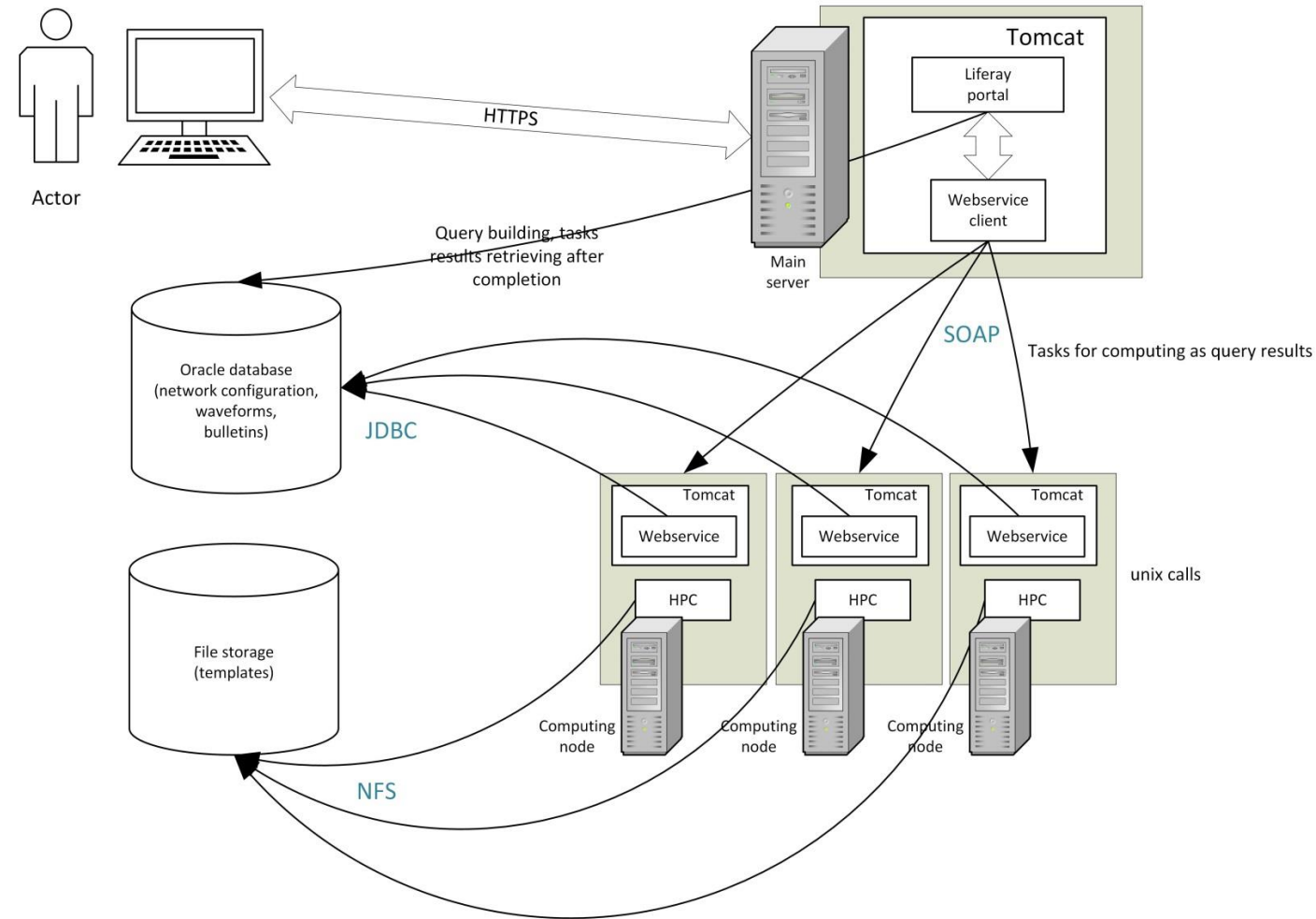
Data Flow diagram shows system from point of required computing nodes, protocols between them and physical connections.

Tomcat – Java servlet container used to run Liferay itself and other components, like web services.

Several computing nodes can be used to balance load. Main portlet is responsible for preparing tasks for them and assembling results.

*Servlet - a small Java program that runs within a Web server, handle clients' requests and return a customized or dynamic response for each request, usually across HTTP.*

*SOAP (Simple Object Access Protocol) is a messaging protocol that allows programs that run on disparate operating to communicate using HTTP and XML.*



- INCLUSION OF NEW MASTER EVENTS IN THE SET FOR AUTOMATIC PROCESSING AFTER AUTOMATIC SPOT CHECK PROCEDURE
- ADDING POTENTIAL MASTER EVENTS FROM THE MOST RECENT REB FOR SPOT CHECK
- SELECTION OF TEMPORARY MASTER EVENTS FROM GLOBAL SYNTHETIC MASTER GRID (OR SEL3) IN AREAS WITHOUT HISTORICAL SEISMICITY (e.g., FRESH FAULTS)
- REGULAR RECALCULATION/SELECTION OF MASTER EVENTS FOR AUTOMATIC PROCESSING
- TUNING DETECTION THRESHOLDS FOR EACH MASTER/STATION PAIR BY RATE OF FALSE ALARMS (NOT ASSOCIATED PHASES) PER DAY (100-200). TUNING TEMPLATE LENGTH (4s to 200 s) TO HIGHEST SNRCC VALUE DEPENDING ON MASTER/STATION/PHASE COMBINATION/ ALSO FOR INFRASOUND
- TUNING ASSOCIATION/CONFLICT RESOLUTION PARAMETERS BY REB/XSEL COMPARISON
- MACHINE LEARNING USING NEW XSEL (REB) EVENTS APPROVED/REJECTED BY ANALYSTS

XSEL For Earthquakes

#	MASTER	HH:MM:SS	OT_STDEV	LAT,deg	LON,deg	DEPTH,km	MB	MB_STVEV	NASS	NEW/REB	REB_ORID	
34	8021164	3:17:55.78	0.13	42.050	42.270	0.0	3.49	0.27	3	<b>NEW</b>	0	
STA	DELTA	SEAZ	HH:MM:SS.SS	TIMERES,s	TT_EVENT		SNRCC	SNR		drm	CC	STA_WEIGHT
KBZ	1.48	326.13	3:18:24.79	0.164	28.80		6.2	6.6		0.185	0.157	3
BRTR	8.33	254.03	3:19:41.25	-0.019	106.50		5.3	2.9		-0.434	0.140	7
FINES	21.82	336.51	3:22:48.46	-0.145	293.88		4.4	2.3		-0.329	0.287	7
35	15478739	3:31:54.26	0.92	50.840	155.430	133.0	4.03	0.46	8	<b>SEL</b>	16341645	
STA	DELTA	SEAZ	HH:MM:SS.SS	TIMERES,s	TT_EVENT		SNRCC	SNR		drm	CC	STA_WEIGHT
MJAR	18.38	228.21	3:36:4.75	-0.581	249.76		4.9	15.3		0.166	0.254	7
ILAR	32.97	41.84	3:38:11.31	-0.380	377.32		4.1	3.5		-0.936	0.220	6
ZALV	41.78	303.31	3:39:27.77	-0.215	454.02		4.6	4.4		-0.375	0.254	4
MKAR	46.64	295.40	3:40:7.32	-0.027	493.57		8.4	16.8		-0.601	0.492	7
FINES	61.47	334.90	3:41:52.79	0.463	598.05		4.2	2.4		-0.694	0.235	5
PDAR	61.60	56.48	3:41:58.07	1.535	603.37		4.9	5.7		-0.379	0.395	4
TXAR	74.60	62.71	3:43:14.53	-1.681	681.10		8.1	10.8		-0.754	0.470	5
ASAR	75.97	200.09	3:43:30.35	0.884	695.02		4.5	16.6		0.505	0.285	6
36	13421400	3:40:33.69	2.00	-18.400	-179.810	618.0	4.81	0.25	10	<b>REB</b>	16342096	
STA	DELTA	SEAZ	HH:MM:SS.SS	TIMERES,s	TT_EVENT		SNRCC	SNR		drm	CC	STA_WEIGHT
STKA	38.29	241.40	3:47:1.29	-2.965	387.91		9.2	24.8		3.737	0.837	7
WRA	44.35	259.85	3:47:40.84	-1.620	428.38		11.1	344.0		0.578	0.442	9
ASAR	44.49	254.53	3:47:46.11	2.783	433.43		14.6	757.3		0.833	0.834	9
NVAR	79.79	43.91	3:51:46.05	-1.383	672.46		18.1	189.9		0.606	0.749	4
ILAR	86.22	13.07	3:52:9.79	-1.153	696.48		7.9	182.7		0.792	0.459	5
CMAR	88.76	289.77	3:52:20.33	-2.226	706.08		12.1	71.3		0.801	0.470	3
TXAR	86.35	57.68	3:52:20.33	1.700	706.61		19.9	350.5		0.519	0.805	5
PDAR	87.72	43.51	3:52:22.97	2.621	710.18		14.0	113.1		0.282	0.599	3
ARCES	126.56	349.68	3:58:26.84	1.505	1074.01		8.4	22.7		1.033	0.633	3
GERES	147.83	344.61	3:59:11.66	0.739	1117.21		11.9	239.4		1.145	0.633	5

### XSEL For Quarry Blasts

#	MASTER	HH:MM:SS	OT_STDEV	LAT,deg	LON,deg	DEPTH,km	MB	MB_STVEV	NASS	NEW/REB	REB ORID	SEIS_REG					
11	9274056	1:14:0.23	0.82	55.379	86.677	0.0	-0.67	0.52	3	NEW	0	28					
#EV	#STA	MASTER	STA	ARRTIME	TT	TIMERES,s	SNRCC	SNR	CC	drm	NA	NA	SLOW	SEAZ	DELTA	STA_	
11	1	9274056	MKAR	1538270170.875	131.950	-0.820	3.53	2.84	0.1170	-0.152	0.0	0.0	0.1233	198.60	9.07	10.	
11	2	9274056	ZALV	1538270071.425	30.341	0.820	4.64	2.63	0.1130	-1.198	0.0	0.0	0.1237	213.25	1.80	9.	
1	1	I46RU	arrtime=	1538270704.200	1:25:	4.69	tres=	-13.43	ttime=	643.00	delta=	1.80	SNR=	3.82	SNRcc=	5.63	FKseaz=
IDCX	I46RU	N	1538270610.000	1:23:	29.77	IDCseaz	326.08	FKseaz	300.90	tt_diff	94.20	t_assoc	201.91				

#	MASTER	HH:MM:SS	OT_STDEV	LAT,deg	LON,deg	DEPTH,km	MB	MB_STVEV	NASS	NEW/REB	REB_ORID	
34	8021164	3:17:55.78	0.13	42.050	42.270	0.0	3.49	0.27	3	<b>NEW</b>	0	
STA	DELTA	SEAZ	HH:MM:SS.SS	TIMERES,s	TT_EVENT		SNRCC	SNR		dRM	CC	STA_WEIGHT
KBZ	1.48	326.13	3:18:24.79	0.164	28.80		6.2	6.6		0.185	0.157	3
BRTR	8.33	254.03	3:19:41.25	-0.019	106.50		5.3	2.9		-0.434	0.140	7
FINES	21.82	336.51	3:22:48.46	-0.145	293.88		4.4	2.3		-0.329	0.287	7

## XSEL/REB Comparison

SeisReg	REB	XSEL	Matched	Weight	SeisReg	REB	XSEL	Matched	Weight
1	2	3	1	22	26	0	2	0	24
2	0	3	0	24	27	0	2	0	22
3	0	2	0	27	28	0	31	0	25
4	0	1	0	27	29	2	2	1	22
5	1	0	0	25	30	2	8	2	22
6	0	0	0	23	31	0	2	0	22
7	0	0	0	23	32	5	7	1	17
8	3	3	2	22	33	2	2	0	22
9	0	0	0	25	34	0	2	0	26
10	1	1	0	24	35	0	0	0	24
11	0	0	0	25	36	7	8	0	28
12	10	13	4	24	37	0	0	0	21
13	7	14	6	25	38	0	2	0	30
14	3	7	3	24	39	0	0	0	19
15	0	3	0	24	40	0	13	0	20
16	1	5	1	25	41	2	7	1	19
17	1	3	1	24	42	0	1	0	25
18	5	7	4	23	43	2	2	1	15
19	4	16	3	22	44	0	0	0	25
20	6	18	2	22	45	0	0	0	25
21	0	6	0	25	46	3	7	1	23
22	1	3	0	26	47	0	1	0	21
23	2	3	2	26	48	1	0	0	22
24	10	19	7	26	49	0	9	0	29
25	0	1	0	27	50	0	0	0	24